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INTELLECTUAL PROPERTY LAW  
(PATENT, BIOTECHNOLOGY, COMPUTER,  
TRADEMARK & TRADE SECRET LAW)

April 21, 1997

**Docket No.: D5716 CIP3**

The Assistant Commissioner Of Patents And Trademarks  
**BOX NON-PROVISIONAL PATENT APPLICATION**  
Washington, DC 20231

Dear Sir:

Transmitted herewith is the non-provisional patent application claiming benefit of U.S. Serial No. 08/631,470 filed on **April 15, 1996** in the:

Name of: **Staley A. Brod**  
For: **Method of Treating Auto-Immune Diseases Using Type One Interferons**

**CLAIMS AS FILED**

<u>Fee for:</u>	<u>Small entity</u>	<u>Amount</u>
Basic fee	\$385	\$385
Each independent claim in excess of 3 (1)	39	39
Each claim excess of 20 (1)		
Multiple dependent claim		
		<b>TOTAL FILING FEE      424</b>

Please charge my Deposit Account No. \_\_\_\_\_ in the total amount of the filing fee and the assignment recordation fee if any.

X A check in the amount of \$424 to cover the filing fee is enclosed.

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- Any additional fees under 37 CFR 1.16.  
 Any application processing fees under 37 CFR 1.17.

Small Entity Statement

A small entity statement is filed herewith.

Relate Back--35 U.S.C. 120

This non-provisional patent application claims benefit of non-provisional Serial No 08/631,470 filed on 4/15/96, which was a continuation in part of serial no. 08/408,271 filed 3/24/95, which was a continuation in part of serial no. 08/226,631 filed 4/12/94.

Assignment

The application is assigned of record to the **Research Development Foundation.**

Power of Attorney

is attached.

Address all future communications to:

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Date: 4/21/97



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT: S. BROD**

**ART UNIT:**

**FILED: APRIL 21, 1997**

**§**

**SERIAL NO.:**

**§**

**FOR: METHODS OF TREATING AUTO-§  
IMMUNE DISEASES USING TYPE  
ONE INTERFERONS**

**DOCKET: D5716CIP3**

**§**

Commissioner of Patents and Trademarks  
Washington, DC 20231

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EM583039725US in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, BOX NON-PROVISIONAL PATENT APPLICATION, on April 21, 1997.

- (1) Non-Provisional Patent Application (81 pages)
- (2) Submission Of 25 Sheets formal Drawings;
- (4) Declaration and Power of Attorney;
- (5) Filing fee;
- (6) Small Entity Statement (1 page); and
- (7) Return postcard.

Respectfully submitted,



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Sarah Brashears  
Registration No. 38,087

Date: 4/21/97

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# METHOD OF TREATING AUTO-IMMUNE DISEASES USING TYPE ONE INTERFERONS

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## BACKGROUND OF THE INVENTION

10    Cross-reference to Related Application

This application is a continuation-in-part of application serial number 08/631,470, filed April 15, 1996, which was a continuation-in-part of application serial number 08/408,271, filed March 24, 1995, which was a continuation-in-part of application serial number 08/226,631, filed April 12, 1994.

15    Field of the Invention

The present invention relates generally to the fields of neurology, immunology and protein chemistry. More specifically, 20 the present invention relates to a novel methods of treating auto-immune diseases using type one interferons.

25    Description of the Related Art

Acute experimental autoimmune encephalomyelitis [EAE] is a T cell mediated inflammatory autoimmune process of the central

nervous system which resembles the human disease multiple sclerosis. CR-EAE, a chronic inflammatory autoimmune process of the central nervous system that resembles human multiple sclerosis [MS] both in its pathological and clinical expression, provides a model  
5 to assess interventions to alter the course of a human autoimmune disease. Myelin basic protein [MBP] is an important neuroantigen in the pathogenesis of this disease. CR-EAE in SJL/J mice can be adoptively transferred following the intravenous injection of an MBP peptide 89-100 specific T cell line. Coculture of MBP peptide 91-103  
10 specific T cells with chemically crosslinked peptide renders the T cells tolerant to MBP in CR-EAE in the SJL/J mouse.

Parenteral, e.g., IV administration of natural rat interferon [ $10^5$  units] partially suppresses acute EAE in male Lewis rats and inhibits passive hyperacute localized EAE when  
15 administered on the same day of immunogen inoculation. Other parenterally administered cytokines such as TGF- $\beta$  can decrease clinical disease and inflammation in brain and spinal cord in EAE. Parenterally administered natural human IFN- $\alpha$  can decrease T cell function and T cell dependent antibody production in humans.  
20 Orally administered natural human IFN- $\alpha$  can prevent experimental development of viral and parasitic infections in animals. Acute EAE provides a model to demonstrate the ability of orally administered immunoactive substances to influence the course of an autoimmune disease.

Human IFN- $\alpha$  is an immunoactive protein that can be orally administered at low doses in the treatment of viral disease in animals. Human IFN- $\beta$ , another type 1 interferon, augments suppressor cell function *in vitro* in progressive MS and rat IFN- $\beta$  decreases the severity of symptoms in rat EAE. In view of the immunoregulatory and anti-viral properties of the type 1 interferons, its response and production has been assessed in autoimmune diseases. Inactive rheumatoid arthritis is marked by augmented [2'-5'] oligoadenylate synthetase [OAS], a readily assayed measurement of type 1 interferon activity, in peripheral blood leucocytes secondary to an IFN- $\alpha/\beta$  stimulus; active rheumatoid arthritis exhibited a significantly reduced IFN- $\alpha/\beta$  production compared to normal donors. Other autoimmune diseases, such as psoriasis and atopic dermatitis, also showed decreased interferon production. Peripheral blood lymphocytes in patients with MS show a similar ineffective production of type 1 interferons in response to viral or mitogenic stimulus which parallels the severity of the disease. Natural killer [NK] cell activity is deficient in MS, which is correlated with disease severity, and can be normalized with IFN- $\alpha$  treatment.

The reported abnormalities of production or response to type 1 interferon in autoimmune diseases have prompted several small pilot studies using type 1 interferons as therapeutic agents in MS. These studies used systemic administered type 1 interferon in doses of 1 million units or more daily without significant clinical improvement. A study of parenterally administered IFN- $\beta$  in

relapsing-remitting MS suggests that 1.6-8 million units of IFN- $\beta$  s.c., three times per week, can decrease relapses by 40-50% and decrease brain inflammation as assessed by serial MRI.

Insulin-dependent diabetes mellitus (IDDM) is a chronic disorder that results from autoimmune destruction of the insulin-producing pancreatic b cell. In the United States, the prevalence of IDDM by age 20 years is 0.26% and lifetime prevalence approaches 0.40%; thus approximately one million Americans have IDDM. Histologic studies suggest that an 80% reduction in the volume of b cells is required to induce symptomatic IDDM. The nonobese diabetic (NOD) mouse is a model of the human autoimmune disease. Many key features of human IDDM are reflected in the NOD mouse model; the development of insulinitis with infiltration of lymphocytes into the pancreatic islets of Langerhans that are selectively cytotoxic to the insulin producing b cells; the dependence of disease pathogenesis by T cells; transmission of IDDM by hematopoietic cells in bone marrow.

The NOD mouse model is mechanistically analogous to the EAE animal model because they are both presumed to be T cell subset mediated, dependent on restriction elements and inflammatory cytokines for disease expression. Although neither acute or chronic EAE have exact parallels to the NOD model, their similarities suggest that interventions successful in EAE can have therapeutic efficacy in the NOD mouse. Both IDDM and EAE can be induced by T cells, primarily one of the two types of helper T cells - T helper cells type 1 (Th1) which produce pro-inflammatory

cytokines such as IL-2, IFN- $\gamma$  or TNF- $\alpha$ . In contrast, administration or up-regulation of the Th2-associated cytokines IL-4 and IL-10 is beneficial and can ameliorate autoimmune disease.

The prior art is deficient in the lack of effective means of  
5 treating auto-immune diseases by oral administration of cytokines,  
such as type one interferons. The present invention fulfills this  
longstanding need and desire in the art.

## SUMMARY OF THE INVENTION

10

It is an object of the present invention to show that the  
oral administration of a type one interferon inhibits proliferation to  
sensitized antigens, suppresses the clinical expression of relapses,  
and decreases inflammation via alteration of cytokines in murine CR-  
15 EAE.

It is another object of the present invention to illustrate  
the effect of type one interferons orally administered before and  
after immunization with GP-MBP in acute EAE.

It is another object of the present invention to suppress  
20 the clinical expression of attacks of autoimmune disease, decrease  
pathological sequellae and inhibit inflammatory cytokines in such  
diseases by the oral administration of biological response modifiers  
such as type one interferons.

It is also an object of the present invention to show the  
25 effect of oral rat IFN- $\alpha/\beta$  on clinical outcome, histology and IFN- $\gamma$

secretion in experimental allergic neuritis, the *in vivo* model of acute inflammatory demyelinating radiculoneuropathy or Guillain-Barré syndrome.

In one embodiment of the present invention, there is  
5 provided a method of treating auto-immune diseases in an animal comprising the step of orally administering a type one interferon to said animal.

In another embodiment of the present invention, there is  
10 provided a method of decreasing the severity or frequency of a relapse of multiple sclerosis in a human comprising the step of orally administering a type one interferon to said animal.

In yet another embodiment of the present invention, there is provided a method of reducing inflammation associated with an auto-immune disease in an animal comprising the step of orally  
15 administering a type one interferon to said animal.

In still yet another embodiment of the present invention, there is provided a method of inhibiting the onset of an auto-immune disease comprising the step of orally administering a type one interferon to said animal.

20 In yet another embodiment of the present invention, there is provided a method of decreasing the incidence of insulin-dependent diabetes mellitus in at-risk populations, comprising the step of orally administering INF- $\alpha$  to individuals of said at-risk population.

Parenterally administered human recombinant type I interferons [hrIFN] in relapsing-remitting multiple sclerosis [RRMS] decrease relapses and spontaneous *in vitro* IFN- $\gamma$  production, reduce clinical progression, and decrease magnetic resonance imaging (MRI)-defined disease activity and lesions. Because parenterally administered type I IFN use is limited by clinical and chemical toxicities and the induction of antibodies that abrogate their activity and correlate with the loss of clinical benefit, whether ingested IFN- $\alpha$  was non-toxic and had biological effects in humans was determined.

A significant decrease in CD3-mediated IFN- $\gamma$  secretion was seen post-treatment in normal controls ingesting 30,000 units IFN- $\alpha$  but not those ingesting 300, 1,000, 3,000, 10,000 or 100,000 units. In subjects with relapsing-remitting multiple sclerosis, a significant decrease in Con A-mediated proliferation and serum soluble intercellular adhesion molecule-1 (sICAM-1), a surrogate measure for disease activity in MS, was found after ingesting 10,000 and 30,000 units IFN- $\alpha$ . The relapsing-remitting multiple sclerosis subjects also showed decreased Con A-induced IL-2 secretion after ingesting 10,000 units IFN- $\alpha$ , and decreased Con A-induced IFN- $\gamma$ , TGF- $\beta$ , IL-10 production and decreased CD3-induced IL-10 production after ingesting 30,000 units IFN- $\alpha$ . The present invention shows that ingested human IFN- $\alpha$  is a profound biological response modifier in humans.

Thus, in another embodiment of the present invention, there is provided a method of decreasing the levels of a cytokine in

an individual having multiple sclerosis, comprising the step of orally administering a type one interferon to said individual, wherein said cytokine is selected from the group consisting of TGF- $\beta$ , IL-2, IL-10, IFN- $\gamma$  and, a marker for inflammation, ICAM-1. Preferably, the  
5 interferon is administered in a dosage of from about 166 I.U./kg to about 500 I.U./kg.

Other and further aspects, features, and advantages of the present invention will be apparent from the following description of the presently preferred embodiments of the invention given for  
10 the purpose of disclosure.

#### BRIEF DESCRIPTION OF THE DRAWINGS

So that the matter in which the above-recited features,  
15 advantages and objects of the invention, as well as others which will become clear, are attained and can be understood in detail, more particular descriptions of the invention briefly summarized above may be had by reference to certain embodiments thereof which are illustrated in the appended drawings. These drawings form a part  
20 of the specification. It is to be noted, however, that the appended drawings illustrate preferred embodiments of the invention and therefore are not to be considered limiting in their scope.

**Figure 1** shows that orally administered murine natural IFN- $\alpha/\beta$  suppresses clinical relapse in murine CR-EAE. Two groups  
25 of six animals were inoculated and following the first attack were fed

either mock IFN or 100 units murine natural IFN- $\alpha/\beta$  3 times per week for seven weeks. One of two representative experiments is shown. SEM is < 10%.

**Figure 2** shows that oral administration of 5,000 units rat IFN- $\alpha/\beta$  inhibits clinical disease in acute EAE. Four groups of 6 Lewis rat were fed mock IFN, 1,000, 3,000 or 5,000 units rat IFN- $\alpha/\beta$  for seven days preceding immunization [-7] and for 21 days thereafter [+14]. Results are expressed as average clinical score for each group on each day of disease post-innoculation  $\pm$  SEM. One of two representative experiments is shown. Data for 1,000 and 3,000 units are not shown. \*p < 0.02 between mock and 5,000 unit IFN- $\alpha/\beta$  fed animals.

**Figure 3** shows that oral administration of hrIFN- $\alpha$  in rat acute EAE delays the onset, decreases the severity and speeds the recovery of clinical attacks. Three groups of seven Lewis rats were inoculated with MBP and CFA on day 0 and orally administered PBS, 1,000 units or 5,000 units hrIFN- $\alpha$  daily starting seven days preceding immunization [-7] and for 14 days thereafter [+14]. Values represent mexperimental allergic neuritis clinical scores for each group of 7 animals  $\pm$  SEM. One of two representative experiments are shown.

**Figure 4** shows that oral administration of hrIFN- $\alpha$  in rat acute EAE decreases the experimental allergic neuritis cumulative clinical severity of acute clinical attacks. Three groups of seven Lewis rats were treated as described in Figure 3. Values represent

experimental allergic neuritis cumulative clinical scores for each group of 7 animals from day 10 to 16 post-innoculation. \* $p < 0.001$ , 5,000 units IFN- $\alpha$  vs. both 1,000 units IFN- $\alpha$  and PBS control.

Figure 5 shows that oral administration of 5,000 units 5 hrIFN- $\alpha$  decreases the number of inflammatory foci in spinal cord compared to the control and 1,000 interferon group. Following sacrifice, spinal cords from animals in Figure 3 were processed as described in methods. Results are expressed as # of inflammatory foci per cord  $\pm$  SEM. One of two representative experiments is 10 shown. \* $p < 0.04$ , 5,000 units IFN fed vs. 1,000 unit IFN fed or PBS control group.

Figure 6 shows that oral administration of 5,000 units hrIFN- $\alpha$ , and not subcutaneous, administration decreases the severity of clinical attacks. Three groups of 6 Lewis rats were inoculated 15 with MBP and CFA on day 0 and untreated, fed 5,000, or injected s.c. with 5,000 units hrIFN- $\alpha$  daily starting seven days preceding immunization [-7] and for 21 days thereafter [+14]. Values represent experimental allergic neuritis daily clinical scores for each group of 6 animals  $\pm$  SEM. One of two representative experiments 20 are shown.

Figure 7 shows that oral administration of hrIFN- $\alpha$  in rat acute EAE decreases the experimental allergic neuritis cumulative clinical severity of acute clinical attacks. Three groups of six Lewis rats were treated as described in Figure 6. Values represent 25 experimental allergic neuritis cumulative clinical scores for each

group of 6 animals from day 10 to 16 post-inoculation. \* $p < 0.005$ , fed animals vs. untreated/s.c. treated animals.

**Figure 8** shows that oral administration of 5,000 units hrIFN- $\alpha$  decreases the number of inflammatory foci in spinal cord compared to the control group. Following sacrifice, spinal cords from animals in Figure 6 were processed as described above and evaluated independently for foci of inflammation by one observer, without knowledge of the treatment status. Results are expressed as # of inflammatory foci per cord  $\pm$  SEM. One of two representative experiments is shown. \* $p < 0.04$ , 5,000 units IFN fed vs. 5,000 units s.c. treated.

**Figure 9A and 9B** demonstrates that orally administered rat interferon- $\alpha/\beta$  reduces clinical disease in rats with experimental allergic neuritis. Lewis rats were fed either 5000 units IFN- $\alpha/\beta$  (interferon) or mock-IFN (mock) starting 7 days prior to immunization with bovine peripheral nerve myelin. Clinical score was significantly higher in the mock-IFN rats ( $n=11$ ) than the IFN- $\alpha/\beta$  rats ( $n=11$ ). More rats in the mock-IFN fed group than the IFN- $\alpha/\beta$  fed group reached a clinical score of 4 or higher (mock-IFN 7 of 11 vs IFN- $\alpha/\beta$  4 of 11 rats) (Figure 9A) and lost 25% or more of their body weight (mock-IFN 4 of 11 vs IFN- $\alpha/\beta$  2 of 11 rats) (Figure 9B).

**Figure 10** demonstrates that orally administered rat interferon- $\alpha/\beta$  reduced inflammation and IFN- $\gamma$  production in experimental allergic neuritis. Lewis rats were fed either 5000

units IFN- $\alpha/\beta$  or mock-IFN starting 7 days prior to immunization with bovine peripheral nerve myelin until the time of sacrifice. Immunocytochemistry of lumbosacral nerve roots using ED1 antibody (1:1000) and IFN- $\gamma$  antibody (1:10) on day 13 after 5 immunization at the onset of clinical disease showed less ED1 positive macrophages (Figure 10A, 2B) in IFN- $\alpha/\beta$  fed rats compared to mock-IFN fed rats (Figure 10D, 10E). Concomitantly with reduced ED1 positive macrophages there was less IFN- $\gamma$  expression in IFN- $\alpha/\beta$  fed rats (Figure 10C) compared to mock-IFN fed rats. (Figure 10F)  
10 Bar = 20 microns.

Figure 11 shows that normal human volunteer subjects ingesting 30,000 units hrIFN- $\alpha$  produce less IFN- $\gamma$  via the CD3-mediated pathway. Human recombinant IFN- $\alpha$  (hrIFN- $\alpha$ , Roche Pharmaceuticals, Nutley, NJ) diluted in 5 ml of saline solution, was 15 aliquoted and stored at -70°C. Each dose was thawed, placed in the mouth and immediately swallowed with at least 150 ml of water. PMNC and serum for routine toxicity studies were obtained by venipuncture from normal healthy controls (three different volunteers at each dose of 300, 1,000, 3,000, 10,000, 30,000 or 20 100,000 units hrIFN- $\alpha$ , total =18) at pretreatment ( $\square$ ) and after treatment ( $\circ$ ). Venipuncture was performed one hour after the ingestion of the last drug dose. Mean values (pg/ml) are expressed for pretreatment ( $\square$ ) and post treatment ( $\circ$ )  $\pm$  SEM. Toxicity studies included: (a) complete blood count with differential and platelet 25 count, prothrombin time, partial thromboplastin time, electrolytes,

blood urea nitrogen, creatinine, glucose, albumin, globulin, calcium, magnesium, phosphorus, alkaline phosphatase,  $\gamma$ GT, ALT, AST, bilirubin, lactate dehydrogenase, creatine phosphokinase, cholesterol and triglycerides, (b) pregnancy test (if appropriate), (c) urinalysis.

5 Immunological studies including T cell phenotyping and mixed lymphocyte cultures (MLC) were also performed for analysis of biologic effect. PMNC were isolated from heparinized venous blood by means of a Ficoll-Hypaque density gradient (Pharmacia Fine Chemicals, Piscataway, NJ), washed twice with Hanks balanced salt

10 solution (GIBCO, Grand Island, NY), counted, and resuspended in standard media consisting of 10% fetal calf serum (Whittaker Bioproducts, Walkersville, MD) in RPMI (Whittaker Bioproducts), with 2% glutamine (GIBCO), and 1% penicillin/streptomycin (GIBCO). PMNC at a final concentration of  $2 \times 10^5/200\mu\text{l}$  in standard tissue

15 culture media were stimulated with a) OKT3 monoclonal antibody form ATCC CRL 8001, Rockville, MD; ascites diluted to 10  $\mu\text{g/ml}$  in Dulbecco's phosphate buffered saline (DPBS), pH 7.4 and plated for  $\geq 1$  hour at 4°C in 96 well flat bottom plates, and then washed twice with DPBS, b) Con A at a final concentration of 2.5  $\mu\text{g/ml}$ , or c) ionomycin

20 at 100 ng/ml in combination with myristic acid palmityl ester (PMA) at 1 ng/ml. The plates were incubated in 5% CO<sub>2</sub> and humidified atmosphere at 37°C for three days. Supernatants were collected at 48 hours after activation and frozen at -70°C after centrifugation for cytokine analysis. The cells for proliferation were pulsed at three

25 days with 2  $\mu\text{Ci}$  [<sup>3</sup>H]- thymidine, harvested 18 hours later on an

automated harvester and uptake measured in a Beckman scintillation counter. Results are expressed as stimulated cpm minus background with cells alone  $\pm$  SEM ( $\Delta$ cpm). Interleukins were measured using solid phase ELISA and anti-human interleukin monoclonal antibodies {Anti-IL-2 (Research & Diagnostics, Berkeley, CA), anti-IL-4, anti-IL-6, anti-IL-10, anti-TNF- $\alpha$  (all from PharMingen, San Diego, CA), anti-IFN- $\gamma$  (Biomedical Resource, Hatboro, PA)} and all samples were run in single batches for each cytokine. Anti-IL-2, anti-IL-4, anti-IL-6, anti-IL-10, anti-TNF- $\alpha$  were incubated on polyvinyl plastic 96 well microtiter plates with 0.01 M carbonate buffer, pH 9.6 overnight at 4°C. The plates were blocked with 3% bovine serum albumin in phosphate buffered saline for 3 hours. 100  $\mu$ l of supernatant in triplicate was added at various dilutions titered to the linear portion of the absorbance/concentration curve and incubated for 1 hour at room temperature. After the plates were washed five times with phosphate buffered saline Tween (0.05%), 100  $\mu$ l peroxidase conjugated anti-interleukin monoclonal antibody (to a different epitope determinant than the first antibody used to coat the polyvinyl plate) at a 1:1000 concentration was added for 60 minutes. The peroxidase substrate O-phenylenediamine dihydrochloride was added, and the absorbance measured at 450 nm. Standard curves with various amounts of the different interleukins were generated. Informed consent was obtained prior to the beginning of the study from each patient and the protocol was reviewed and approved by

the Committee for the Protection of Human Subjects of UTHSC-Houston. Statistical analysis was performed using paired *t* test.

**Figure 12** shows subjects with early relapsing-remitting multiple sclerosis ingesting IFN- $\alpha$  demonstrate decreased Con A-mediated proliferation. Recruitment of the subjects with clinically stable relapsing-remitting multiple sclerosis was from patients in the MS Research Group Clinic of the University of Texas-Houston at Hermann Hospital. The diagnosis of relapsing-remitting multiple sclerosis was determined using defined criteria. Subjects with relapsing-remitting multiple sclerosis were administered IFN for two weeks at each dose with at least two weeks washout without IFN between the first dosing cycle (10,000 units), the second dosing cycle (30,000 units) and the third dosing cycle (100,000 units). Drug administration and proliferation assays were performed as outlined in Figure 11. PMNC were obtained by venipuncture from stable relapsing-remitting multiple sclerosis subjects at pretreatment and one hour after the last of a two week treatment cycle ( $n=10$  at 10,000 [pre( $\Delta$ ), post( $\nabla$ )],  $n=9$  at 30,000 (pre( $\square$ ), post( $\circ$ )) and  $n=8$  at 100,000 units [pre( $\blacktriangleright$ ), post ( $\blacktriangleleft$ )] as described above. Two patients discontinued treatment; one patient became pregnant after the first dosing cycle and another had a mild sensory attack of MS after the second dosing cycle. PMNC were examined for CD3, Con A and ionomycin + PMA proliferation. Mean values ( $\Delta\text{cpm}$ ) are expressed for pretreatment and post-treatment  $\pm$  SEM. There was no change in

proliferation at the 100,000 unit dose or with CD3-mediated or ionomycin/PMA activation.

**Figure 13** shows subjects with early relapsing-remitting multiple sclerosis demonstrate decreased Con A-induced IL-2 secretion after ingesting 10,000 units hrIFN- $\alpha$  and decreased Con A-induced IFN- $\gamma$ , TGF- $\beta$ , IL-10 production, and decreased CD3-induced IL-10 production after ingesting 30,000 units hrIFN- $\alpha$ . Drug administration and interleukin assays were performed as outlined in Figures 11 and 12. Venipunctures were performed and purified PMNCs examined for CD3, Con A and ionomycin + PMA proliferation and TNF- $\alpha$ , IL-2, IL-10 and IFN- $\gamma$  secretion as described above in Figure 11. To determine the amount of TGF- $\beta$  in cell culture supernatants, the Predicta TGF kit (Genzyme, Cambridge, MA) was used. Results are expressed as pg/ml with mean values expressed for pretreatment and post-treatment  $\pm$  SEM as in Figure 12. IL-4 and IL-6 secretion were not examined in the MS population.

**Figure 14** shows subjects with early relapsing-remitting multiple sclerosis demonstrate decreased soluble ICAM-1 serum (sICAM-1) levels after ingesting 10,000 and 30,000 units hr IFN- $\alpha$ . Serum samples were obtained as outlined in Figure 11. To determine the amount of sICAM-1 in serum samples, the sICAM-1 ELISA kit was used (Bender MedSystems, Vienna, Austria). Results are expressed as prestudy ( $\Delta$ ), after 10,000 ( $\nabla$ ), before 30,000 ( $\square$ ) and after 30,000 units (o). Venipuncture was performed one hour after the ingestion of the last drug dose. Mean values (pg/ml) are

expressed for pretreatment ( $\Delta$ ) and after 10,000 ( $\nabla$ ), before 30,000 ( $\square$ ) and after 30,000 ( $\circ$ ) units  $\pm$  SEM. There was no significant difference between prestudy values and after 10,000 units or before 30,000 units. NS=not significant.

5           **Figure 15** shows that donor activated spleen CD4+ or CD8+ T cells from IFN fed animals, but not mock fed animals suppresses actively induced EAE in recipients.

10           **Figure 16** shows that CD8+ T cells (upper well) from animals ingesting IFN- $\alpha$  inhibit proliferation of Con A splenocytes (lower well)

15           **Figure 17** shows that increased relative IFN-induced Mx mRNA levels are found in mouse and man after ingestion of IFN- $\alpha$ .

20           **Figure 18** shows that every other day ingestion (oral) mIFN-  $\alpha$  from age nine weeks suppresses the incidence of diabetes mellitus in NOD mice. Twenty NOD female mice were obtained from Taconic Farms at eight weeks of age and feeding with mock IFN ( $n = 10$ ) or 10 units mIFN-  $\alpha$  ( $n = 10$ ) three times per week was initiated at nine weeks. Animals were followed by venipuncture for blood glucose  $> 11.1$  mmol/l. Data was analysed for time to overt diabetes in each group by Kaplan Meier survival curve with % nondiabetic vs age. Animals were followed for 23 weeks in total. Animals fed IFN-  $\alpha$  ( $\square$ ) demonstrated delayed onset of IDDM and decreased frequency of animals becoming diabetic compared to untreated ( $\circ$ ) animals ( $p < 0.02$  by Mann Whitney test).

25           **Figure 19** demonstrates that every other day ingestion

(oral) mIFN-  $\alpha$  from age nine weeks decreases the grade of islet inflammation. Pancreases were evaluated by a blinded pathologist after removal, fixing in 10% formalin, embedding in paraffin, sectioned at 5 microns, and stained with H/E.

5           **Figure 20** shows that every day ingestion (oral) mIFN-  $\alpha$  from age nine weeks enhances the suppression of diabetes mellitus in NOD mice. Twenty NOD female mice were obtained from Taconic Farms at eight weeks of age and feeding with mock IFN ( $n = 10$ ) or 10 units mIFN-  $\alpha$  ( $n = 10$ ) every day was initiated at nine weeks.  
10 Animals were followed by venipuncture for blood glucose  $> 11.1$  mmol/l. Data was analysed for time to overt diabetes in each group by Kaplan Meier survival curve with % nondiabetic vs age. Animals were followed for 23 weeks in total. Animals fed IFN-  $\alpha$  (□) demonstrated significantly greater delayed onset of IDDM and  
15 decreased frequency of animals becoming diabetic compared to untreated (O) animals ( $p < 0.002$  by Mann Whitney test).

20           **Figure 21** demonstrates that every other day ingestion (oral) mIFN-  $\alpha$  from age five weeks suppresses the incidence of diabetes mellitus in NOD mice. Sixteen NOD female mice were obtained from Taconic Farms at eight weeks of age and feeding with mock IFN ( $n = 8$ ) or 10 units mIFN-  $\alpha$  ( $n = 8$ ) every other day starting at five weeks of age was initiated. Animals were followed as described above. Animals fed IFN-  $\alpha$  (□) demonstrated significantly greater delayed onset of IDDM and decreased frequency of animals becoming diabetic compared to untreated (O) animals ( $p < 0.002$  b y  
25

Mann Whitney test).

Figure 22 demonstrates that ingested mIFN- $\alpha$  increases the mitogen-induced production of IL-2, IL-4, IL-6, IL-10 and IFN- $\gamma$  in spleen cells. Splenocytes from individual animals were stimulated with Con A or ionomycin + PMA. Cytokines was measured using solid phase commercial ELISA. Individual data correspond to animals mock fed IFN- $\alpha$  (□) or animals fed with 10 units mIFN- $\alpha$  (O). Con A: untreated versus treated IL-2 - p < 0.025; IL-4 - p < 0.05; IL-6 - p < 0.02; IL-10 - p < 0.04; IFN- $\gamma$  - p < 0.05; TNF- $\alpha$  - p < 0.15, TGF- $\beta$  - p < 0.32, IL-1 - p < 0.08. Ionomycin + PMA: untreated versus treated IL-2 - p < 0.21; IL-4 - p < 0.05; IL-6 - p < 0.07; IL-10 - p < 0.015; IFN- $\gamma$  - p < 0.03; TNF- $\alpha$  - p < 0.4, TGF- $\beta$  - p < 0.24; IL-1 - p < 0.25.

15

## DETAILED DESCRIPTION OF THE INVENTION

In the present invention, the modification of disease and inhibition of inflammatory cytokine production induced by orally administered cytokines in EAE provide a convenient, effective and long term means for treatment of autoimmune diseases of unknown antigen in humans, in particular multiple sclerosis.

The present invention is directed to a method of treating auto-immune diseases in an animal comprising the step of orally administering a type one interferon to said animal. Generally, the

interferon useful in the methods of the present invention is either alpha-interferon and beta-interferon. The type one interferon may be derived from any suitable source. Preferably, the interferon is selected from the group consisting of human recombinant interferon,  
5 rat interferon and murine interferon.

Generally, the type one interferon administered in the methods of the present invention would be administered at a dose that effectively inhibits the onset or reoccurrence, etc., of the auto-immune disease. For example, the interferon may be administered  
10 in a dosage of from about 50 I.U./kg to about 25000 I.U./kg..

The methods of the present invention may be practiced on any animal having an autoimmune disease. Most preferably, the methods of the present invention will be useful in treating humans.

A wide variety of auto-immune diseases may be treated  
15 by the methods of the present invention. Representative examples of auto-immune diseases include multiple sclerosis, rheumatoid arthritis, diabetes mellitus, psoriasis, organ-specific auto-immune diseases, chronic inflammatory demyelinating polyradiculoneuropathy and Guillain-Barré syndrome.

20 The present invention is also directed to a method of decreasing the severity or frequency of a relapse of multiple sclerosis in a human comprising the step of orally administering a type one interferon to said animal. Moreover, the present invention also is directed to a method of inhibiting the onset of an auto-  
25 immune disease comprising the step of orally administering a type

one interferon to said animal. In particular, the present invention provides a method of decreasing the incidence of insulin-dependent diabetes mellitus in at-risk populations, comprising the step of orally administering INF- $\alpha$  to individuals of said at-risk population.

5           The following examples are given for the purpose of illustrating various embodiments of the invention and are not to limit the present invention in any fashion.

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### EXAMPLE 1

#### Induction of experimental autoimmune encephalomyelitis.

A chronic relapsing form of EAE was induced in 7-10 week old female SJL/J mice using the method of Brown and McFarlin,  
15 Lab. Invest. (1981) 45:278-284 as modified by Miller et al., J. Immunol. (1987) 138:3776-3784. Briefly, each mouse received a subcutaneous injection over the shaved right flank of 0.3 ml of an emulsion containing 1 mg of syngeneic mouse spinal cord homogenate [MSCH] in 0.15 ml of phosphate buffered saline and 0.03 mg of *Mycobacterium tuberculosis hominis* H37Ra [MT] [Difco Labs, Detroit, MI] in 0.15 ml of incomplete Freund's adjuvant [IFA]. Seven days later, the mice received a similar injection in the left flank. Initial clinical signs of disease were seen between days 13 and 25 postimmunization. Initial clinical signs of disease were seen  
20 between days 13 and 25 postimmunization. Clinical severity of the  
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relapse attack was graded as follows by a blinded observer: 0 = no disease; 1 = minimal or mild hind limb weakness; 2 = moderate hind limb weakness or mild ataxia; 3 = moderate to severe hind limb weakness; 4 = severe hind limb weakness or moderate ataxia; 5 = 5 paraplegia with no more than moderate four limb weakness; 6 = paraplegia with severe four limb weakness or severe ataxia. Animals were scored in a blinded fashion for 6-8 weeks and a cumulative weekly score was computed by averaging three scores per week [Monday, Wednesday, Friday] for each group of animals.

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#### EXAMPLE 2

##### Cytokine feeding

Following initial attack, animals were fed varying doses 15 [1-100 units] of murine natural IFN- $\alpha/\beta$  [Cytimmune mouse IFN- $\alpha + \beta$ ,  $4.0 \times 10^5$  IRU/ml, Lee Biomolecular Research, Inc., San Diego, CA], or mock murine IFN- $\alpha/\beta$  [Cytimmune < 2 IRU/ml, Lee Biomolecular Research, Inc., San Diego, CA. {generated identically to IFN- $\alpha/\beta$  except cultures are mock induced}] using a 2.5 cm syringe fitted with a 20 gauge ball point needle [Thomas Scientific, Swedesboro, NJ] three times per week [Monday, Wednesday, Friday] for 6-8 weeks. Mock IFN were used as control since heat denatured IFN may retain some immunological activity.

### EXAMPLE 3

#### Preparation of Guinea Pig Myelin Basic Protein [GP-MBP]

- Twenty grams of guinea pig spinal cord were added to  
5 4.7 ml -20°C methanol and homogenized with a tissue homogenizer.  
9.4 ml -20°C chloroform was added, homogenized an additional 2  
minutes and stirred for 60 minutes at room temperature; then at 4°C  
for an additional 60 minutes. The material was filtered through 2  
thicknesses of sterile gauze through a chilled Buchner flask and a #1  
10 Whatman filter paper. The tissue cake was rinsed with 20 ml -20°C  
acetone and was resuspended in 40 ml of cold ddH<sub>2</sub>O; then stirred 30  
minutes at 4°C, filtered a second time and resuspended in 10 ml of  
cold ddH<sub>2</sub>O. Suspension was sonicated to facilitate resuspension of  
pellet in ddH<sub>2</sub>O.
- 15 Tissue/H<sub>2</sub>O slurry was adjusted to pH = 3 with 1 N HCl  
and stirred overnight at 4°C. After an overnight spin, pH was  
readjusted to 3 and spun at 10,000 x g for 15 minutes at 4°C.  
Supernatant was filtered through #1 Whatman paper and liquid  
filtrate retained. Filtrate was adjusted to pH = 9 with 10 N NaOH  
20 and stirred for 60 minutes at 4°C; then spun at 10,000 x g for 15  
minutes at 4°C. Supernatant volume was measured and ammonium  
sulfate added to a final concentration of 27.7 grams/100 ml;  
supernatant was stirred in cold for 30 minutes and then spun at  
10,000 x g for 15 minutes at 4°C and resuspended in 1 ml ddH<sub>2</sub>O.  
25 Resuspended pellet was dialyzed in small molecular weight [6-8000

MW] dialysis tubing against ddH<sub>2</sub>O. Protein concentration was determined at A<sub>280</sub> via UV spectroscopy. To check purity of myelin basic protein preparation, a 7-20% SDS-PAGE gradient gel was prepared and stained with 0.3 M CuCl<sub>2</sub>. Purity of guinea pig myelin basic protein [GP-MBP] preparation was demonstrated by an 18 kD band.

#### EXAMPLE 4

10    Lymph Node Cell and Spleen Preparation

Animals were killed 6-8 weeks after initiation of feeding and draining inguinal nodes and spleen cells were removed and single cell suspensions were made through a 90 um stainless wire meshes. Red cell lysis was performed in the spleen cell suspensions 15 with 2 mls of ACK solution added to the pellet and the reaction allowed to continue at 5 minutes at room temperature.

#### EXAMPLE 5

20    *In vitro* T cell proliferation

Seven days following clinical relapse attack, mice were sacrificed, draining inguinal lymph nodes were pooled and cultured *in vitro* to determine antigen-specific T cell proliferative responses. Antigen stimulation was carried out with antigen at either 0 or 100 25 µg/ml [GP-MBP or MT] and mitogen stimulation with Con A at 2.5

$\mu\text{g}/\text{ml}$  by incubating lymph node cells at  $2 \times 10^5$  cells/well in RPMI [Gibco, Grand Island, NY] supplemented with 10% fetal calf serum [FCS] [Whittaker Bioproducts, Walkersville, MD], 1% sodium pyruvate [Gibco, Grand Island, NY], 1% glutamine [Gibco], 1% Penicillin/Streptomycin, and 50  $\mu\text{M}$  2-mercaptoethanol. The plates were incubated at 5%  $\text{CO}_2$  and humidified at 37°C for 4 days. At that time the cells were pulsed with 2  $\mu\text{Ci}$  of tritiated [ $^3\text{H}$ ] dTd and harvested 18 hours later on an automated harvester. [ $^3\text{H}$ ] dTd uptake was measured in a Beckman [liquid] scintillation counter. Cultures were run in triplicate and the results expressed as  $\Delta\text{CPM}$ .

#### EXAMPLE 6

##### Histology

Following sacrifice, spinal cords were removed and immersion fixed in 10% neutral buffered formalin for a minimum of two weeks. After fixation, brains were bisected in the horizontal plane, and cords sectioned in entirety in the horizontal plane at approximately 3 mm intervals and processed to paraffin. Paraffin blocks were sectioned at 6-8 microns, and step sections were stained with hematoxylin and eosin and with Luxol-fast blue/PAS/hematoxylin and examined by light microscopy. Cord sections were evaluated independently for foci of inflammation by a blinded observer, without knowledge of the treatment status of the animals prior to sacrifice. Spinal cord tissue was sampled in an

identical fashion for each animal and numbers of parenchymal inflammatory foci per section [>20 inflammatory cells] were counted.

### EXAMPLE 7

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#### Cytokine analysis

Spleen cells from mock and 100 unit murine IFN- $\alpha/\beta$  treated animals were cultured with Con A [2.5 ug/ml] at  $1 \times 10^6$  cells /ml in 75 cm<sup>2</sup> tissue culture flasks for 48 hours in a humidified 5% CO<sub>2</sub>/95% air incubator at 37°C. Supernatants was collected at 24 and 10 48 hours after Con A activation and frozen at -70°C after centrifugation. Interleukins was measured using solid phase ELISA assay. Anti-IL-2, anti-IL-10, or anti-IFN- $\gamma$  [PharMingen, San Diego, CA] was incubated on polyvinyl plastic 96 well microtiter plates with 15 0.01M carbonate buffer (pH 9.6) overnight at 4°C. The plates were blocked with 3% BSA in phosphate buffered saline for 3 hours. 100 µl of supernatants was added at various dilutions that are titered to the linear portion of the absorbance/concentration curve in triplicate and incubated for 1 hour at room temperature. After the plates was 20 washed five times with phosphate buffered saline Tween (0.05%), 100 µl peroxidase conjugated interleukin monoclonal antibody (with a different epitopic determinant than the first antibody used to coat the polyvinyl plate) at a 1:1000 concentration was added for 60 minutes. Subsequently, the peroxidase substrate O- 25 phenylenediamine dihydrochloride was added and the absorbance

measured at 450 nm. Standard curves with various amounts of the different interleukins were generated. Statistical analysis was performed using a one-tailed Student's t-test.

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### EXAMPLE 8

Orally administered murine type I interferons suppress clinical disease, inflammation and inhibit proliferative responses to MBP.

One to ten units of orally administered type 1 IFN had an immunological effect but was not adequate to suppress clinical relapses. Therefore, two groups of six immunized SJL/J mice were fed mock IFN or 100 units murine natural IFN- $\alpha/\beta$  three times per week beginning on day 30, following recovery from the first attack. Group clinical scores after the initial attack were not significantly different among the different groups. Clinical relapses occurred approximately 40 days after inoculation. Clinical scores demonstrated significant differences in outcome in the mock IFN fed versus 100 units murine natural IFN- $\alpha/\beta$  fed animals [ $p < 0.03$ , see Figure 1]. Two major relapses occurred in the mock IFN fed group over the course of seven weeks with a resultant increased neurological deficit. The oral murine natural IFN- $\alpha/\beta$  group underwent a delayed single attack without residual neurological deficit. Oral murine natural IFN- $\alpha/\beta$  blunted the severity and decreased the group score between the initial attack and relapse.

Con A activation of draining inguinal lymph node cells was inhibited in mock IFN fed compared to animals fed 100 units murine natural IFN- $\alpha/\beta$  [88,222 cpm  $\pm$  1,910 vs. 38,095 cpm  $\pm$  3,160]. Lymph node cells from mock IFN fed animals tested with MBP 5 generated a robust proliferative response, but that response was profoundly inhibited in murine natural IFN- $\alpha/\beta$  fed animals [14,052 cpm  $\pm$  842 vs. 448 cpm  $\pm$  50].

To determine whether there was inhibition to another sensitized antigen, antigen-specific proliferation of draining inguinal 10 lymph node to a second sensitized antigen was examined, *Mycobacterium tuberculosis hominis* [MT], a component of MSCH inoculum. Lymph node cells from mock IFN fed animals generated a robust proliferative response to MT but that response is profoundly decreased in 100 unit murine natural IFN- $\alpha/\beta$  fed animals [52,401 15 cpm  $\pm$  857 vs. 5,214 cpm  $\pm$  808].

Animals were also examined histologically 65 days following immunization. There were significantly less inflammatory foci in the IFN fed group [ $0.5 \pm 0.1$ ] compared to the control mock IFN group [ $1.8 \pm 1.1$ ] [ $p < 0.05$ ]. Thus, orally administered murine natural 20 type I interferons can suppress clinical disease, decrease inflammation and inhibit proliferation to MBP and MT.

## EXAMPLE 9

### Suppression of relapse by oral IFN- $\alpha/\beta$ correlates with decreased IFN- $\gamma$ secretion

5           The intensity of disease in EAE has been associated with IFN- $\gamma$  secretion after Con A stimulation of spleen cells. Therefore pooled spleen cells were stimulated from both mock fed [n=5] or 100 units fed [n=5] murine IFN- $\alpha/\beta$  with Con A [2.5  $\mu$ g/ml] at  $1 \times 10^6$  cells /ml for two days and supernatants were assayed by solid phase 10 ELISA [IL-2, IL-10, IFN- $\gamma$ ]. Oral IFN- $\alpha/\beta$  consistently decreased IFN- $\gamma$  a mediator of inflammation [exp #1, mock spleen 2,500 ng/ml  $\pm$  300 vs 100 unit spleen \*1,100 ng/ml  $\pm$  200; exp #2, mock spleen 2,180 ng/ml  $\pm$  100 unit spleen 247 vs \*143 ng/ml  $\pm$  13, \* p < 0.001 compared to mock IFN control]. There was also a decrease in IL-2, a 15 T cell growth factor and increased IL-10 production, although these changes did not attain statistical significance.

          The present invention illustrates that orally administered type 1 interferons can modify the biological response in CR-EAE when administered after animals recover from the initial attack. 20 When given at adequate dosages, orally administered type 1 interferons suppress clinical relapses, diminish inflammation and inhibit proliferation of activated cells from draining inguinal lymph nodes, the natural sites for high frequencies of activated T cells from subcutaneously administered antigens. Thus, type 1 interferons are 25 active by the oral route with significant immunological effects in

susceptible immune compartments. Oral IFN consistently decreased Con A induced IFN- $\gamma$  secretion in spleen cells. Suppression of proliferation to MBP can occur even after a period of 6 weeks post-inoculation and 4 weeks after initial clinical attack. Thus, orally administered cytokines, lacking a protective matrix to prevent protein digestion in the oropharynx and the rest of the alimentary canal, are capable of inhibiting proliferation to previously sensitized antigens.

The blunting of an established and ongoing immune response is an important therapeutic issue. The administration of animal myelin antigens by the oral route can improve the clinical course of CR-EAE in rats and guinea pigs and decrease pathological sequellae to myelin antigens in CR-EAE. Oral administration of myelin proteins can decrease the severity of attacks in male DR2- MS patients and the frequency of MBP specific T cell lines in myelin treated individuals. However, in the human trials and animal experiments, there was only partial suppression of clinical or pathological disease suggesting that other orally administered immunoactive substances may be superior to myelin antigens.

The immunomodulatory mechanism of orally administered type 1 interferon is not known. Modulatory effects of Con A activated lymphocytes on the mitogen responses of normal responder cells can be abrogated by addition of anti-human leukocyte IFN serum *in vitro*; this may prevent the production of inhibitory factors induced by IFN- $\alpha$ , e.g. soluble immune response

suppressor [SIRS] and macrophage derived suppressor factor [ $M\phi$ -SF]. Peripheralized T cells may be required for interferon production after such mitogen stimulation. However, oral administration of low dose IFN- $\alpha$  in mice does not result in detectable levels of IFN- $\alpha$  in the blood in contrast to intraperitoneal administration, nor can its effect be blocked by circulating anti-IFN antibodies. The neutropenic effect of orally administered IFN can be transferred by injection of blood cells but not serum to recipient animals. Cytokine mRNA analysis of the CNS in EAE suggests that IL-2, IL-6 and IFN- $\gamma$  are elevated in acute disease but during stabilization of symptoms these cytokines are decreased with increasing IL-10 levels which can regulate Th1 cells. Therefore type 1 IFN is an immunomodulatory molecule which induce suppressor factors, such as IL-10, inhibiting response to immunized antigens such as MBP and MT.

In summary, the oral administration of biological response modifiers such as type I interferons provides a potentially non-toxic, convenient and continuous means of inhibiting immune responsiveness. Biological response modifiers delivered by the oral route provide another means to treat autoimmune diseases.

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#### EXAMPLE 10

##### Induction of acute experimental autoimmune encephalomyelitis.

EAE was induced in 8-10 week old female Lewis rats  
25 [Harlan Sprague Dawley, Indianapolis, IN], using an emulsion

containing equal parts of myelin basic protein in PBS and complete Freund's adjuvant [CFA]; *Mycobacterium tuberculosis hominis* H37Ra [MT] [Difco Labs, Detroit, MI]. Lewis rats were immunized s.c. in a rear footpad with 0.1 ml emulsion containing 50 µg MBP and 500 µg  
5 MT in Freund's adjuvant. Initial clinical signs of disease were seen between days 9 and 11 postimmunization. Clinical severity of the initial attack was graded as follows by a blinded observer: 0 = no disease; 1 = flaccid tail; 2 = slight hind limb weakness; 3 = moderate hind limb weakness; 4 = total hind limb weakness and incontinence.  
10 Average daily clinical score was calculated by determining the average score for each group on each day of attack. A experimental allergic encephalomyelitis cumulative clinical score was calculated that incorporated overall clinical severity, disease incidence and duration of clinical signs into one comparative index by summing all  
15 daily scores on all rats in a treatment group between onset and end of attack and dividing by the total number of rats within the treatment group. Statistical analysis was performed on combined data from at least two similar experiments.

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#### EXAMPLE 11

##### Administration of IFN

Seven days preceding immunization [-7] and for 21 days thereafter [+14], rats were fed either mock IFN, 1,000, 3,000 or 5,000  
25 units rat natural IFN- $\alpha/\beta$  [Cytimmune rat IFN  $\alpha + \beta$ ,  $4.0 \times 10^5$  IRU/ml,

Lee Biomolecular Research, Inc. San Diego, CA] or human recombinant IFN- $\alpha$  [hrIFN- $\alpha$ ] [IFN- $\alpha$ II 3.0 x 10<sup>6</sup> IU/ml, Schering Corp, Kenilworth, NJ] using a syringe fitted with a 20 gauge ball point needle [Thomas Scientific, Swedesboro, NJ] placed in the posterior oropharynx or injected subcutaneously with PBS placebo or 5,000 units hrIFN- $\alpha$  daily. Lyophilized IFN preparations were reconstituted with DPBS and diluted 1/600 to 1/3,000. The mock natural preparation was prepared identically to the rat natural IFN- $\alpha/\beta$  but is not induced with Newcastle virus.

Preparation of guinea pig myelin basic protein [GP-MBP], i.e., homogenization and delipidation, acid elution of MBP, lymph node cell and spleen preparation, in vitro T cell proliferation and cytokine analysis were performed as described above.

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### EXAMPLE 12

#### Histology

Following sacrifice, spinal cords were removed and immersion fixed in 10% neutral buffered formalin for a minimum of two weeks. After fixation, cords were sectioned in entirety in the horizontal plane at approximately 3 mm intervals and processed to paraffin. Paraffin blocks were sectioned at 6-8 microns, and step sections [n=5] were stained with hematoxylin and eosin and with Luxol-fast blue/PAS/hematoxylin, and examined by light microscopy. Cord sections were evaluated independently for foci of

inflammation by a blinded observer, without knowledge of the treatment status of the animals prior to sacrifice. Spinal cord tissue was sampled in an identical fashion for each animal and numbers of inflammatory foci per section (>20 inflammatory cells) in the parenchyma were counted. Statistical analysis was performed using a two-tailed Student's t-test.

### EXAMPLE 13

10 Natural rat interferon alpha/beta modifies clinical disease, inhibits proliferative response and decreases inflammation to GP-MBP

Four groups of six 8-10 week old Lewis rats were immunized with equal parts MBP and CFA and subsequently had attacks beginning by day 9 and followed until day 16. Seven days preceding immunization [-7] and for 21 days thereafter [+14], each group of animals were fed either mock IFN, 1,000, 3,000, or 5,000 units rat type 1 IFN- $\alpha/\beta$  daily in 0.1 ml PBS. All animals were scored for clinical disease until day 16 after immunization. Results from blinded examination of daily group clinical scores demonstrated significant differences in clinical outcome in the mock fed versus 5,000 units IFN- $\alpha/\beta$  fed animals particularly at days 13-16 but not in the animals fed 1,000 or 3,000 units. Rats treated with 5,000 units rat natural IFN- $\alpha/\beta$  had peak disease that was less severe than in the mock group, and the 5,000 unit IFN- $\alpha/\beta$  treated group recovered more quickly and returned to baseline sooner than the mock treated

group [Figure 2, data not shown for 1,000 and 3,000 units]. The experimental allergic encephalomyelitis cumulative clinical score of the animals treated with 5,000 units rat natural IFN- $\alpha$  was significantly less than mock IFN fed controls [ $0.8 \pm 0.2$ , 5,000 unit fed vs  $1.2 \pm 0.2$ , mock IFN fed,  $p < 0.02$ ]. Animals were also examined histologically 16 days following immunization. There were less inflammatory foci in the IFN- $\alpha/\beta$  treated [ $26 \pm 6$ ] compared to the control mock interferon group [ $50 \pm 2$ ] although this did not attain statistical significance due to the small number of spinal cords examined per group [ $n=3$ ] [ $p < 0.06$ ].

Draining popliteal lymph node Con A proliferation was inhibited from  $16,209 \pm 1,234$  cpm from mock fed animals to  $8,120 \pm 765$  cpm in 5,000 unit IFN- $\alpha/\beta$  fed animals. Draining popliteal lymph node cells from mock and 5,000 unit treated animals were stimulated with ionomycin + PMA and demonstrated decreasing proliferation from mock [ $20,505$  cpm  $\pm 505$ ] to 5,000 [ $6,111$  cpm  $\pm 636$ ] unit treated animals. No consistent differences in MBP or MT proliferation between fed and mock fed animals was demonstrated in draining popliteal lymph node. There was also no inhibition in spleen or non-draining mesenteric lymph node to Con A or ionomycin/PMA in IFN treated animals.

Thus, species specific type I interferons inhibit the severity of acute clinical disease when given at adequate dosages. Inhibition of proliferation from orally administered IFN- $\alpha/\beta$  could be due to a direct action of IFN which enters the bloodstream through

the gut or indirectly. The *in vitro* type I IFN treatment of draining popliteal lymph node and spleen cells was examined from immunized but mock treated animals demonstrates similar effects to *in vivo* treatment. There was no clear effect on Con A proliferation in draining popliteal lymph node or spleen cells exposed to IFN [1-100 units] *in vitro*, in contrast to *in vivo* IFN oral administration in which draining popliteal lymph node proliferation was decreased. Thus, an indirect effect of type 1 IFN may be mediated through the gut associated lymphoid tissue.

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#### EXAMPLE 14

##### Modification of acute rat EAE by oral administration of human recombinant interferon alpha

15 Since human type 1 interferon can show cross-species activity in mice, guinea pigs, gnotobiotic calves, horses and pigs, and cats, recombinant human interferon [hrIFN- $\alpha$ ], a uniform material that may provide more immunosuppression per unit of activity than natural preparations, was utilized. For seven days preceding 20 immunization [-7] and for 21 days thereafter [+14], each group of animals was fed either PBS, 1,000 units or 5,000 units hrIFN- $\alpha$  daily. Three groups of seven 8-10 week old Lewis rats were immunized and subsequently had an attack beginning by day 10 and extending through day 16. All animals were scored for clinical disease until 25 day 16 after immunization. As shown in Figure 3, PBS and 1,000

unit hrIFN- $\alpha$  fed animals demonstrated severe acute attacks starting at day 10 and reaching peak intensity at day 14. Animals fed 5,000 units hrIFN- $\alpha$  showed delayed attack onset, decreased severity at peak and earlier resolution of the attack. The experimental allergic encephalomyelitis cumulative clinical score of the animals treated with 5,000 units hrIFN- $\alpha$  was significantly less than either PBS control fed or 1,000 unit fed animals [Figure 4]. Thus, orally administered hrIFN- $\alpha$  suppress the onset of clinical attacks of EAE in the Lewis rat when administered before inoculation. Following sacrifice 16 days post-immunization, animals were also examined histologically. There were significantly fewer inflammatory foci in 5,000 unit hrIFN- $\alpha$  fed group compared to the PBS control group or 1,000 unit hrIFN- $\alpha$  fed group [Figure 5].

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### EXAMPLE 15

Oral but not equivalent doses of parenteral administered human recombinant interferon alpha modifies clinical disease

Orally administered hrIFN- $\alpha$  modifies clinical disease and decrease inflammation in spinal cord. The relative efficacy of equivalent amounts of orally versus parenterally administered hrIFN- $\alpha$  was examined. Three groups of six Lewis rats were immunized and either untreated, fed 5,000 units hrIFN- $\alpha$  or injected with 5,000 units hrIFN- $\alpha$  s.c. for seven days preceding immunization [-7] and for 21 days thereafter [+14]. All animals were scored for

clinical disease until day 18 after immunization. Rats treated with oral 5,000 units hrIFN- $\alpha$  had a less severe disease at peak of the disease and more rapid recovery compared to the untreated group [Figure 6]. Indeed, the untreated and the s.c. treated groups had  
5 very similar clinical curve scores, suggesting that s.c. hrIFN- $\alpha$  had little or no effect on clinical disease. Experimental allergic encephalomyelitis cumulative clinical scores demonstrated significant differences in clinical outcome in the untreated/sc treated vs fed animals [Figure 7]. There was no significant difference between  
10 untreated and s.c. treated animals. Eighteen days following immunization, animals were sacrificed and examined histologically. There were significantly fewer inflammatory foci in 5,000 unit fed compared to the 5,000 units s.c. treated group [Figure 8].

PBS fed and PBS s.c. injected controls demonstrated  
15 similar findings. In this case four groups of six Lewis rats were either fed PBS, injected s.c. with PBS, fed 5,000 units hrIFN- $\alpha$  or injected with 5,000 units hrIFN- $\alpha$  s. c. for seven days preceding immunization [-7] and for 21 days thereafter [+14]. All animals were immunized on day 0. Animals were scored for clinical disease until  
20 day 16 after immunization and sacrificed. Experimental allergic encephalomyelitis cumulative clinical scores were significantly less in hrIFN- $\alpha$  fed animals [ $1.0 \pm 0.2$ ] compared to PBS fed [ $2.5 \pm 0.4$ ] [ $p < 0.005$ ]. There was no significant difference between mock s.c. [ $1.7 \pm 0.4$ ] and 5,000 units hrIFN- $\alpha$  s.c. [ $2.1 \pm 0.4$ ] animals even though s.c.  
25 treated animals did have higher experimental allergic

encephalomyelitis cumulative clinical scores. Thus, s.c. administered hrIFN- $\alpha$  cannot modify the onset of acute clinical disease when given at clinically preventive oral dosages.

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### EXAMPLE 16

#### Orally administered IFN- $\alpha$ inhibits the mitogen induced production of IFN- $\gamma$ in draining popliteal lymph nodes

The intensity of disease in EAE has been associated with  
10 IFN- $\gamma$  secretion after Con A stimulation. Therefore, spleen and  
draining popliteal lymph node cells [day 18 post-immunization] from  
both mock fed or 5,000 units fed hrIFN- $\alpha$  rats were stimulated with  
Con A [2.5  $\mu$ g/ml] for two days and supernatants were assayed by  
ELISA. The results in TABLE I show that oral hrIFN- $\alpha$  decreased  
15 IFN- $\gamma$ , a mediator of inflammation, in draining popliteal lymph node  
but not in spleen.

TABLE I

20 Inhibition of clinical disease by oral IFN correlates with decreased IFN- $\gamma$  secretion in draining popliteal lymph nodes

	LN mock	LN 5,000	spleen mock	spleen 5,000
IFN- $\gamma$ [ng/ml]	461 $\pm$ 60	96 $\pm$ 32*	360 $\pm$ 75	310 $\pm$ 62

Spleen and draining popliteal lymph node cells [day 18] from mock IFN and 5,000 unit IFN fed immunized rats were cultured with Con A [2.5  $\mu$ g/ml] at  $1 \times 10^6$  cells/ml for 48 hours. Supernatants were collected at 48 hours after Con A activation and frozen at -70° C after centrifugation. IFN- $\gamma$  was measured using solid phase ELISA assay. Results given are expressed in ng/ml. Combined data from two experiments are shown. LN 5,000 compared to LN mock \*p < 0.05.

Orally administered type 1 interferons, as opposed to identical s.c. doses, are disclosed by the present invention as modifiers of biological response to MBP in acute EAE in Lewis rats when they are administered before sensitization and clinical attack. Orally administered type 1 interferons partially modify clinical attacks, decrease the number of inflammatory foci in spinal cord, decrease non-specific proliferation by Con A and ionomycin/PMA and decrease the production of IFN- $\gamma$  in draining popliteal lymph nodes. This suggests that IFN- $\alpha$  is more active by the oral route, and has definable immunological effects, and confirms that specific cytokines are capable of inhibiting clinical disease when given via the GI tract.

Surprisingly, both human recombinant IFN- $\alpha$  and species-specific rat natural IFN worked in present invention. Natural IFNs are a mixture of 14 separate subspecies including the IFN- $\alpha_{II}$  subtype which may be only a small component of the natural type. Human IFN shows some cross species activity, however, the exclusive use of the IFN  $\alpha_{II}$  subtype may provide a relative greater

amount by inhibitory activity/total units of activity of the most important component for immunosuppression in the rat.

- Antiproliferative effects of orally administered IFN were greater in draining popliteal lymph node than in non-draining popliteal lymph nodes. The oral administration of IFN- $\alpha$  also inhibited the production of IFN- $\gamma$  in draining popliteal lymph nodes. Draining popliteal lymph node are the natural draining areas for subcutaneously administered antigens and therefore presumably the reservoir of high frequencies of sensitized MBP-specific T cells.
- Orally administered cytokines may preferentially effect proliferation and cytokine production at sites of immune activation compared to systemic administration. Inhibition of IFN- $\gamma$  secretion in an activated regional immune compartment by IFN- $\alpha$  may cause decreased inflammatory effect of MBP-specific cells in the CNS.
- Oral IFN- $\alpha$  was effective in inhibiting EAE compared to identical s.c. doses. *In vitro* IFN did not inhibit Con A proliferation in pop LN in contrast to *in vivo* p.o. administration. Thus, the route of administration is critical and may determine the immunological mechanism for IFN. Proteins which might not survive transit through the alimentary canal may still exhibit immunoinhibitory activity via the gut associated lymphoid tissue [GALT] in the oropharynx. Therefore oral administration provides an alternative drug delivery system for therapeutic cytokines in the treatment of autoimmune diseases by the generation of immunoregulatory cells via the gut immune system.

The immunomodulatory mechanism of orally administered type 1 interferon is not known. Modulatory effects of Con A activated lymphocytes on the mitogen responses of normal responder cells can be abrogated by addition of anti-human leukocyte IFN serum *in vitro* which may modify the production of inhibitory factors induced by IFN- $\alpha$ , e.g. soluble immune response suppressor [SIRS] and macrophage derived suppressor factor [ $M\phi$ -SF]. Peripheralized T cells may be required for interferon production after such mitogen stimulation. However, oral administration of low dose IFN in mice does not result in detectable levels of IFN in the blood in contrast to intraperitoneal administration, nor can its effect be blocked by circulating anti-IFN antibodies. The neutropenic effect of orally administered IFN can be transferred by injection of blood cells but not serum to recipient animals. Similarly, cells can be induced in Peyer's patch after oral administration of antigen in mice that decrease humoral response *in vitro*. Therefore, type 1 IFN may be an immunomodulatory molecule produced by activated T and other immune cells which induce suppressor factors, such as SIRS and  $M\phi$ -SF, inhibiting response to immunized antigens such as MBP.

The present invention demonstrates that oral IFN- $\alpha$  therapy is relevant for relapsing-remitting multiple sclerosis and other chronic non-neurological autoimmune diseases since orally administered IFN- $\alpha$  appears to be more effective than equivalent doses of parenteral IFN- $\alpha$ . Thus, the oral administration of cytokine

biological response modifiers such as IFN- $\alpha$  provides an effective means of modifying clinical attacks to an autoantigen when administered before sensitization. The oral route is a convenient drug delivery system that allows the use of significantly lower doses of cytokines, minimizing side effects and enhancing efficacy.

### EXAMPLE 17

#### Immunization

10 Female Lewis rats (Harlan), 150-170 g in weight, were immunized with 20 mg (wet weight) peripheral nerve myelin emulsified in an equal volume of complete Freund's adjuvant (CFA) which contained 10 mg *M.tuberculosis* per ml Freund's. The immunogen was injected in 200  $\mu$ l into the footpad of the right 15 hindleg. Peripheral nerve myelin was isolated from bovine spinal roots (Pel-Freeze, TX) according to the method of Norton, Methods Enzymol., 31 (part A), 435, 1975.

### EXAMPLE 18

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#### Interferon administration

Starting seven days preceding immunization until sacrifice at 20 days after immunization, rats were fed either mock-IFN or 5000 U rat natural IFN- $\alpha/\beta$  (Cytimmune rat IFN- $\alpha/\beta$   $1.5 \times 10^6$  U/ml, 25 Lee Biomolecular Research Inc, San Diego, CA). Lyophilized IFN was

reconstituted with sterile water, diluted in phosphate buffered saline (PBS) and administered in a 0.1 ml daily dose using a syringe fitted with a 20 gauge ball point needle (Thomas Scientific, Swedesboro, NJ) placed in the posterior oropharynx.

5

#### EXAMPLE 19

##### Clinical Assessment

The rats were weighed daily starting at day 7 after immunization and evaluated clinically using the following scale: 0, normal; 1, limp tail; 2, abnormal gait; 3, mild paraparesis; 4, severe paraparesis; 5, paraplegia; 6, paraplegia with forelimb involvement; 7, paraplegia with forelimb involvement and respiratory distress; 8, moribund or dead as described by Hahn et al., Acta Neuropathol. 82:60-65 (1991). Weight loss was calculated for each animal as the difference between weight at time of sacrifice and maximum weight and expressed as a percentage of the maximum weight.

#### EXAMPLE 20

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##### Proliferation assays

At sacrifice on day 20 after immunization, draining popliteal lymph nodes and spleen were removed. Single cell suspensions were made by passage through 90 µm stainless wire meshes. Red cell lysis was performed in spleen cell suspensions by

adding 2 ml of ACK solution to the pellet. Cells from spleens and draining lymph nodes were pooled for each treatment group for *in vitro* culture. Whole spleen or lymph node populations were incubated at  $2 \times 10^5$  cells/ml with *M.tuberculosis* at 10  $\mu\text{g}/\text{ml}$ , SP26 at 5 1  $\mu\text{g}/\text{ml}$  [SP26 is a neuritogenic peptide of 26 aminoacids (Rostami et al., *J. Neuroimmunol.*, 30:145-151 (1990) synthesized in the Department of Analytical Chemistry at the University of Texas], Concanavalin A at 2.5  $\mu\text{g}/\text{ml}$  (Sigma Chemical Co., St.Louis, MO) in RPMI (Gibco, Grand Island, NY), ionomycin at 100 ng/ml (Calbiochem, 10 La Jolla, CA) in combination with myristic acid palmityl ester (PMA) at 1 ng/ml (Sigma Chemical Co., St.Louis, MO) supplemented with 10% fetal calf serum (FCS; Whittaker Bioproducts, Walkersville, MD), 1 mM sodium pyruvate (Gibco, Grand Island, NY), 2 mM glutamine (Gibco), 100 units Penicillin/Streptomycin, and 50  $\mu\text{M}$  2-mercaptoethanol. 15 The plates were incubated at 5%  $\text{CO}_2$  and humidified at 37°C for 4 days. At that time, the cells were pulsed with 2  $\mu\text{Ci}$  of tritiated ( $^3\text{H}$ ) dTd and harvested 18 hours later on an automated harvester. ( $^3\text{H}$ ) dTd uptake was measured in a Beckman liquid scintillation counter. Cultures were run in triplicate and the results expressed as  $\Delta\text{CPM}$ .

20

#### EXAMPLE 21

##### Cytokine analysis

Draining pooled popliteal lymph node and pooled spleen  
25 cells from mock and 5000 unit rat IFN treated experimental allergic

neuritis animals were cultured with Con A (2.5  $\mu$ g/ml), *M.tuberculosis* (10  $\mu$ g/ml) or SP26 (1  $\mu$ g/ml) at  $1 \times 10^6$  cells/ml in  $75 \text{ cm}^2$  tissue culture flasks for 48 hours in a humidified 5% CO<sub>2</sub>/95% air incubator at 37° C. Supernatants were collected after centrifugation and frozen at -70° C. IFN- $\gamma$  was measured using a solid phase ELISA assay. Anti-IFN- $\gamma$  (Pharmingen, San Diego, CA) was incubated on polyvinyl plastic 96 well microtiter plates with 0.01M carbonate buffer (pH 9.6) overnight at 4° C. The plates were blocked with 3% bovine serum albumin (BSA) in PBS for 3 hours. One hundred  $\mu$ l of supernatants were added at various dilutions titered to the linear portion of the absorbance/concentration curve in triplicate and incubated for 1 hour at room temperature. After the plate was washed 5 times with PBS Tween (0.05%), 100  $\mu$ l peroxidase conjugated IFN- $\gamma$  monoclonal antibody (with a different epitopic determinant than the first antibody used to coat the polyvinyl plate) at a 1:1000 concentration was added for 60 minutes. Subsequently, the peroxidase substrate O-phenylenediamine dihydrochloride was added, and the absorbance measured at 450 nm. Standard curves with various amounts of IFN- $\gamma$  were generated.

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#### EXAMPLE 22

#### Histology

Rats were sacrificed by perfusion with saline under pentobarbital anesthesia on day 20 after immunization. The cauda

equina was removed and divided. The distal portion was fixed in 2.5% paraformaldehyde/2.5% glutaraldehyde in PBS for 4-6 hours, osmicated overnight in 2% osmium tetroxide, dehydrated and embedded in Epon. Four to five blocks of the lower lumbosacral roots were prepared from each animal. Representative 0.5 micron sections of each block were stained with toluidine blue.

Histological assessment of demyelination and inflammation in each root was performed using the following scale (Hahn et al, 1991): demyelination 1+ = a few demyelinated axons perivenular or scattered; 2+ = many foci of perivenular demyelination; 3+ = extensive demyelination perivenular and confluent; inflammation 1+ = a few scattered mononuclear inflammatory cells often subperineurial; 2+ = perivenular cuffing with mononuclear inflammatory cells; 3+ = extensive multifocal perivenular cuffing and widespread endoneurial inflammation. Scores were calculated per animal by dividing the total number for demyelination and inflammation over the number of nerve roots in the sections. Slides were read by an investigator (MP) who was unaware of the immunization or treatment protocol pertaining to the specimens.

The proximal portion of the cauda equina ( $\pm$  2 cm) was snap-frozen in methylbutane cooled in liquid nitrogen and stored at -70°C. Eight micron cross-sections were cut on a cryostat, fixed in ice cold ether and used for immunocytochemistry.

### EXAMPLE 23

#### Immunocytochemistry

Immunocytochemistry was performed using the following antibodies: ED1 1:1000 (macrophages), W3/13 (lymphocytes; Harlan Bioproducts for Science, IN), CD11b/c 1:100 (CR3 on macrophages, granulocytes, dendritic cells; Pharmingen, CA), IFN- $\gamma$  1:10 (Genzyme, MA). Eight micron frozen sections were blocked in normal rabbit serum for 30 minutes, then incubated with the primary antibody for 1 hour, washed with PBS, incubated with biotinylated secondary antibody for 1 hour, washed in PBS, incubated with ABC peroxidase (Vectastain) for 45 minutes, washed and developed with diaminobenzidine and hydrogenperoxide. Blocking with 0.01% hydrogenperoxide preceded incubation with primary antibodies to IFN- $\gamma$ . The intensity and distribution of staining in nerve roots was scored as 1+, mild; 2+, moderate and 3+, severe.

### EXAMPLE 24

#### 20 Clinical symptoms

Rats started losing weight at day 10 after immunization and the first neurological symptoms appeared on day 12 while recovery started at day 16 or later. Eleven of 22 rats (50%) developed severe experimental allergic neuritis with a clinical score of 4 (severe paraparesis) or more and 2 out of 11 mock-IFN fed

10 animals died on day 14 and 15 after immunization, while none of the  
IFN- $\alpha/\beta$  fed animals died. The clinical score in the mock-IFN fed rats  
(n=11, score 4.1  $\pm$  2.4; experimental allergic neuritis  $\pm$  SD) was  
significantly higher than in the IFN- $\alpha/\beta$  fed rats (n=11, score 3.3  $\pm$   
5 1.4; p<0.03, Wilcoxon rank test). More rats in the mock-IFN fed group  
developed a clinical score of 4 or greater (Figure 9A). Similarly more  
mock-IFN fed animals lost more than 25% of their body weight than  
IFN- $\alpha/\beta$  fed animals (Figure 9B).

## EXAMPLE 25

### Cell proliferation and cytokines

15 Spleen cell proliferation to Con A from IFN- $\alpha/\beta$  fed animals was decreased compared to mock-IFN fed animals. (spleen:  
mock-IFN 118,114  $\pm$  5,194 vs rat IFN- $\alpha/\beta$  835  $\pm$  94, mean  $\pm$  SEM,  
p<0.006, t-test). IFN- $\gamma$  production after stimulation with SP26 by  
lymph node and spleen cells from mock-IFN fed rats was much  
higher than IFN- $\gamma$  production by lymph node and spleen cells from  
IFN- $\alpha/\beta$  fed rats as measured by ELISA but the difference did not  
20 reach statistical significance (lymph node: 18.9  $\pm$  2.4 ng/ml vs 11.9  $\pm$   
3.8 ng/ml; spleen: 11.9  $\pm$  0.4 ng/ml vs 6.3  $\pm$  1.8 ng/ml, mean  $\pm$  SEM,  
mock-IFN fed vs rat IFN- $\alpha/\beta$  fed, p<0.08). No difference in IFN- $\gamma$   
production was observed after stimulation with Con A or  
*M.tuberculosis*.

25

## EXAMPLE 26

### Histology

Histology of Epon-embedded sections showed demyelination to be significantly less severe in rat IFN- $\alpha/\beta$  fed rats (score  $0.88 \pm 0.11$ , mean  $\pm$  SD) compared to mock-IFN fed rats (score  $1.1 \pm 0.26$ ;  $p<0.05$ , t-test) but inflammation was not significantly different in both groups of animals (score  $1.0 \pm 0.2$  vs  $1.18 \pm 0.24$ ).

Immunocytochemistry with CD11bc antibody showed large numbers of inflammatory cells both perivascular and diffusely infiltrating nerve roots of the cauda equina in both rat IFN- $\alpha/\beta$  fed and mock-IFN fed rats when sacrificed at day 20. The majority of these cells were phagocytic ED1 positive macrophages and no significant differences were observed between rat IFN- $\alpha/\beta$  fed rats and mock-IFN fed animals in the extent of inflammation.

A group of rats treated with either rat IFN- $\alpha/\beta$  ( $n=3$ ) or mock-IFN ( $n=3$ ) were sacrificed early in the course of the disease at day 13 after immunization. Immunocytochemistry was performed to evaluate IFN- $\gamma$  production *in situ*, which is only seen up until day 14 in experimental allergic neuritis, as well as inflammation. Infiltration by ED1 positive macrophages and W3/13 positive lymphocytes was much less severe in IFN- $\alpha/\beta$  fed rats than in mock IFN fed controls. IFN- $\gamma$  staining was present in areas of dense inflammation on the surface of inflammatory cells. Figure 10 shows

that IFN- $\gamma$  staining was markedly reduced in IFN- $\alpha/\beta$  fed rats concomitantly with less extensive inflammation.

Administration of oral species-specific IFN- $\alpha/\beta$  compared to mock-IFN reduced the severity of experimental allergic neuritis, 5 causing a significant decrease in clinical disease score and less weight loss. Histological data, collected at day 20 after immunization, when recovery had started, showed less demyelination in the IFN- $\alpha/\beta$  treated group without altering the extent of inflammation. At day 13 after immunization, *in situ* IFN- $\gamma$  production was reduced together 10 with inflammation as evaluated by immunocytochemistry. Proliferation studies of draining lymph node and spleen cells showed reduced proliferation to Con A in IFN- $\alpha/\beta$  fed experimental allergic neuritis rats compared to mock-IFN fed controls. Cytokine analysis revealed reduced IFN- $\gamma$  production after stimulation with SP26, a 15 peripheral nerve myelin antigen capable of inducing experimental allergic neuritis. In combination, the present invention demonstrates that oral administration of IFN- $\alpha/\beta$  reduces the severity of experimental allergic neuritis by a reduction in IFN- $\gamma$  production. Thus, the present invention shows an immunomodulatory effect of 20 oral IFN- $\alpha/\beta$  on the host immune system.

The mechanism by which oral IFN- $\alpha/\beta$  acts on the immune system is unknown but may include effects on Peyer's patches in the gut-associated lymphoid tissue (GALT) where regulatory cells can be generated. IFN- $\alpha$  may induce 25 immunoregulatory factors derived from CD8+ T cells that are

responsible for disease modification. The reduced IFN- $\gamma$  production associated with oral IFN- $\alpha/\beta$  administration suggests a possible functional inhibition of systemic Th1-like T helper cells found in EAE that produce IFN- $\gamma$ . This results in a diminution of T cell encephalitogenicity of actively or passively induced disease.

Parenteral IFN- $\gamma$  administration has been shown to augment both myelin-induced and T-cell mediated experimental allergic neuritis with increased clinical signs and histological abnormalities and enhanced oxidative burst by macrophages, while the opposite effect was obtained by parenteral administration of anti-IFN- $\gamma$  antibody. IFN- $\gamma$  also induces MHC class I and II expression on Schwann cells *in vitro*. IFN- $\gamma$ , an inflammatory cytokine released by Th1 CD4+ T-cells, can upregulate MHC Class II expression on macrophages and endothelial cells and activate macrophages that play an important role in myelin phagocytosis. Reduced IFN- $\gamma$  expression and reduced inflammation was seen at the onset of clinical disease in IFN- $\alpha/\beta$  fed animals. Histological evaluation at the end of the disease process, when recovery had started, showed reduced demyelination but not inflammation in IFN- $\alpha/\beta$  fed animals. Decreased IFN- $\gamma$  and inflammation in early stages and diminished demyelination at later stages of disease suggest a critical role for IFN- $\gamma$  in the pathogenesis of experimental allergic neuritis. The inability to decrease inflammation in later stages of disease may be due to partial suppression of IFN- $\gamma$  production, the influence of other

cytokines and inflammatory mediators and the induction of rather severe experimental allergic neuritis.

In summary, oral interferon is a biological response modifier effective in modifying disease in another experimental autoimmune disease, experimental allergic neuritis. The results of the present invention in experimental allergic neuritis showing reduced disease in rats fed IFN- $\alpha/\beta$  illustrate that oral IFN- $\alpha/\beta$  administration would be useful in the treatment of human immune-mediated neuropathies as Guillain-Barré syndrome or chronic inflammatory demyelinating polyradiculoneuropathy (CIDP).

The present invention demonstrated that murine species-specific (mIFN- $\alpha$ ) and hrIFN- $\alpha$  delivered to the stomach and small intestine of mice suppressed clinical relapse in chronic relapsing experimental autoimmune encephalomyelitis (CR-EAE), decreased inflammation and suppressed the adoptive transfer of EAE. The optimal clinically effective fed dose of IFN- $\alpha$  for suppression of EAE was 10 times less than the optimal, but clinically less effective, subcutaneous dose. Mice fed type I IFNs showed decreased mitogen and antigen-specific proliferative responses and decreased IFN- $\gamma$  and IL-2 secretion *ex vivo*. Therefore systemic effects can be achieved with IFN- $\alpha$  administered directly to the upper gastrointestinal tract in experimental models of autoimmune disease. The use of parenterally administered type I IFNs is limited by clinical and chemical toxicities including the generation of IL-6, a potential polyclonal B cell activator. Furthermore, antibodies that abrogate

IFN activity develop in a proportion of IFN- $\beta_{1b}$  treated patients, correlating with the loss of clinical benefit. Since the oral route of IFN administration produce systemic immunomodulation, whether ingested IFN- $\alpha$  was non-toxic, modulated proliferation or cytokine secretion, and had biological effects on a surrogate marker of disease activity in MS, serum sICAM-1, in humans was also determined.

Normal healthy controls (three different volunteers at each dose of 300, 1,000, 3,000, 10,000, 30,000 or 100,000 units hrIFN- $\alpha$  [hrIFN- $\alpha$ : Roche Pharmaceuticals], total = 18) were assessed for baseline hematologic and chemical profile and urinalysis, and for immunologic function. They were then treated for two weeks by ingesting hrIFN- $\alpha$  three times per week and reassessed for toxicity and immune function. Estimated dose equivalency of ingested IFN- $\alpha$  in man of 6-300 units was based on effective anti-viral dosages of human natural IFN- $\alpha$  in animals to be 0.1-5 units/lb, and by a direct extrapolation on a unit/kg basis of the most effective dose of mIFN- $\alpha$  in murine EAE of 10 units/20 mg mouse ( $\approx$  30,000 units/60 kg human). This provided the dose approximations for this study in normal volunteers. Also included was a 100,000 unit dose to insure a complete dose-response evaluation. Peripheral mononuclear cells (PMNC) were obtained by venipuncture at pretreatment and after two weeks of three per week (Monday, Wednesday, Friday) hrIFN- $\alpha$  treatments, and *ex vivo* proliferation responses and interleukin secretion measured.

Ingested hrIFN- $\alpha$  was not toxic at any dose used as measured by clinical survey (NCI toxicity scale), routine blood chemistries and urinalysis (data not shown). However there was a significant decrease in CD3-mediated IFN- $\gamma$  secretion in normal volunteers ingesting 30,000 units hrIFN- $\alpha$  after treatment (Figure 5 11,  $p < 0.05$  by paired  $t$  test), but not after 300, 1,000, 3,000 10,000 or 100,000 units. There were no other statistically significant alterations of CD3, Con A or ionomycin + PMA proliferation or secretion of IL-2, IL-4, IL-6, IL-10, TGF- $\beta$  or TNF- $\alpha$  at any dose 10 studied. Phenotypic analysis of human T cells was performed by means of direct immunofluorescence with fluorescein-conjugated monoclonal antibodies examining CD3, CD4, CD8, CD14, CD19, CD56+/CD3-, CD3/CD4, CD8/CD3, Ia/CD3+, Ta<sub>1</sub>, CD4/2H4, CD4/4B4, CD8/2H4, CD8/4B4 at a dilution of 1/20 (Becton-Dickinson, 15 San Diego, CA). Background fluorescent reactivity was determined using isotype control FITC-conjugated monoclonal antibodies. Flow cytometric analysis was performed using an Epics C flow cytometer (Coulter Electronics, Hialeah, FL). Mean fluorescent intensity and percent positive cells were measured. Single batches of directly labeled 20 monoclonal antibody were used.

Assay of mixed lymphocyte cultures was performed according to the method of R. H. Kerman, et al., *Transplantation* 30: 450 (1980). Briefly, mixed lymphocyte cultures assays (MLC) were performed using PMNC from patient-responders and stimulators 25 from a pool of three unrelated normal-donors. PMNC were isolated

from heparinized venous blood on Ficoll-Hypaque gradients and washed three times in Hanks' balanced salt solution (HBSS, Gibco). Viable PMNC were counted on hemacytometer by trypan blue exclusion and adjusted to the appropriate concentration in complete medium (RPMI-1640, Gibco; supplemented with 2mM Hepes buffer, Sigma; 100 units penicillin, 100 µg streptomycin/ml, Gibco; 50 µg gentamycin/ml, Microbiological Assoc.; 200 mM L-glutamine, Gibco; 5 x 10<sup>-5</sup> M 2-mercaptoethanol, Sigma; and 15% heat-activated pooled human AB serum, Microbiological Assoc.). The MLC reaction consisted of 1 x 10<sup>5</sup> each of responding and irradiated stimulator PMNC (stimulators were irradiated with 3,000 rads at 500 rads/min from a <sup>137</sup>Cs source, J.L. Shepard and Assoc, Glendale, CA.) in triplicates wells of round-bottomed Linbro microtiter plates. Cultures were incubated at 37°C in a 95% humidity, 5% CO<sub>2</sub> atmosphere. MLCs were pulsed on day 6 of culture with 1 µCi <sup>3</sup>H-thymidine (specific activity, 5.0 c/mM; ICN) added to each well and harvested 18 hr later with a multiple automatic sample harvester unit. The filter strips were dried and counted in Packard-Beta Max system and data calculated as mean cpm for each triplicate sample. Stimulation index (S.I.) was calculated according to the formula: panel MLC SI = cpm from MLC of patient x pooled stimulators/cpm from control MLC of patient x irradiated autologous patient PMNC. The mixed lymphocyte cultures (MLC) showed no significant changes in this population with treatment.

MS is a chronic demyelinating disease of the central nervous system (CNS) that has been postulated to be T cell mediated and autoimmune. Progression or recurrence of immune damage appears to result from a failure of normal regulatory mechanisms to suppress the immune-mediated process in MS. Because CR-EAE is a model T cell mediated, inflammatory autoimmune CNS process that resembles MS and immunoregulation with ingested IFN- $\alpha$  was demonstrated to benefit EAE, it was determined whether ingested IFN- $\alpha$  was non-toxic and had biological effects in subjects with early relapsing-remitting multiple sclerosis. Doses that showed measurable biological effects in normals and insured a complete dose-response evaluation in the MS population. PMNC and serum were obtained by venipuncture from stable relapsing-remitting multiple sclerosis subjects at pretreatment and at two weeks after three per week (Monday, Wednesday, Friday) treatments in a dose escalation trial with washout periods of at least two weeks (n=10 at 10,000, n=9 at 30,000 and n=8 at 100,000 units). PMNC were examined for CD3, Con A and ionomycin + PMA proliferation and induced TGF- $\beta$ , TNF- $\alpha$ , IL-2, IL-10 and IFN- $\gamma$  secretion as described above and serum examined for sICAM-1 levels. Con A-mediated proliferation was significantly decreased by ingesting 10,000 ( $p < 0.01$  by paired  $t$  test) and 30,000 units hrIFN- $\alpha$  ( $p < 0.005$ ) (Figure 12). A single patient showed increased Con A proliferative response at both 10,000 and 30,000 units ingested hrIFN- $\alpha$ .

Significant decreased Con A-induced IL-2 secretion ( $p < 0.01$ ) was seen after ingesting 10,000 units hrIFN- $\alpha$  and significant decreased Con A-induced IFN- $\gamma$  ( $p < 0.004$ ), TGF- $\beta$  ( $n=7$ ) ( $p < 0.005$ ), and IL-10 production ( $p < 0.015$ ), and CD3-induced IL-10 production (p<0.02) were found after ingesting 30,000 units hrIFN- $\alpha$  in subjects with relapsing-remitting multiple sclerosis (Figure 13). In all relapsing-remitting multiple sclerosis subjects, the pretreatment mean values for cytokine secretion in the dosing cycle following significant decreases did not attain prestudy levels and, *a fortiori*, were lower than the after-treatment values of the preceding cycle (Figure 13). Thus, a significant immunomodulatory effect may persist into the next dosing cycle in spite of a washout period. The persistent biological effect of ingested IFN- $\alpha$  was confirmed by measurement of serum sICAM-1 levels, a direct measure of disease activity that is elevated in MS during clinical relapse or with enhancing lesions on MRI and not liable to potential alteration *in vitro*. sICAM-1 serum levels declined gradually from prestudy values and were significantly decreased after both 10,000 and 30,000 unit dosing cycles ( $p < 0.02$ ) (Figure 14), suggesting an effect on subclinical disease.

CD3-stimulated PMNC from relapsing-remitting multiple sclerosis subjects ingesting 30,000 units IFN- $\alpha$  produced less IL-2 after treatment and both Con A and CD3-induced TGF- $\beta$  secretion were less at 100,000 units (Figure 13). There was no change in proliferation or secretion of any other cytokine at 100,000 units or

changes in TNF- $\alpha$  secretion at any drug dose. Phenotypic analysis disclosed a slight but significant decrease in CD56+/CD3- cells (pre 13.1 vs post 8.0 mean fluorescence intensity,  $p < 0.01$ ) (data not shown). Mixed lymphocyte cultures (MLC) were not altered by 5 treatment (data not shown).

These results demonstrate that systemic immunobiological effects result from hrIFN- $\alpha$  ingestion of comparatively low doses (10,000-30,000 units). This effect will be mediated by cells induced to express a type 1 IFN specific-induced 10 Mx mRNA.

#### EXAMPLE 27

Donor Activated Spleen CD8+ T cells from IFN Fed Animals, but not 15 Mock Fed Animals, Can Suppress Actively Induced EAE in Recipients.

Experiments were performed to examine immunomodulation of EAE after passively transferring activated CD4+ and CD8+ T cells from mock fed or mIFN- $\alpha$  fed donors into concurrently actively immunized mice. Five groups of fifteen mice 20 were actively immunized with SCH (day 0 + day 7) and mock injected i.p. (day 0) with saline (adjuvant), injected with  $10^7$  Con A activated CD4+ or CD8+ spleen cells from mock IFN fed (mock CD8 or mock CD4), or animals fed 10 units mIFN- $\alpha$  for at least 4 weeks (IFN CD4 or IFN CD8) (> 90% purity by FACS).

Results from blinded examination performed every two days demonstrated significant differences in clinical outcome in the adjuvant versus IFN CD4 (Figure 15) (days 14 - 28; p < 0.05 by non-paired *t* test) and adjuvant versus IFN CD8 (days 14 - 28; p < 0.01 by non-paired *t* test). There were no significant difference between adjuvant and mock CD4 or mock CD8 at any point. This suggests that donor activated CD4+, and more robustly CD8+ T cells from animals ingesting mIFN- $\alpha$ , but not mock IFN fed animals, can suppress actively induced disease.

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#### **EXAMPLE 28**

CD8+ T cells from mice ingesting mIFN- $\alpha$  inhibit proliferation of Con A stimulated splenocytes via soluble factor(s).

To evaluate the suppressive effect of CD8+ T cells in EAE mice fed mIFN- $\alpha$  *in vitro*, pure CD4+ and CD8+ splenocytes populations ( $10^5$ ) (> 90% by FACS) from mIFN- $\alpha$  fed mice were stimulated with Con A (50  $\mu$ g/ml) and placed in the upper well of a transwell plate. Concurrently, Con A (2.5  $\mu$ g/ml) stimulated splenocytes from EAE immunized non-fed mice ( $6 \times 10^5$ ) were placed in the bottom well and incubated for 5, 6 or 7 days. On day 5, 6 and 7, Con A-stimulated splenocytes from nonfed mice ( $6 \times 10^5$ ) (lower well) without upper well cells (baseline control proliferative response) or with  $10^5$  Con A stimulated CD4+ or CD8+ spleen cells from animals ingesting mock IFN or mIFN- $\alpha$  (upper well) were

transferred to 96 well round bottomed plates in triplicate and pulsed with thymidine for 18 hours. The results ( $\Delta\text{cpm} \pm \text{SEM}$ ) show that by day 6, Con A stimulated CD8+ T cells from mice ingesting mIFN- $\alpha$  (upper well) inhibited proliferative response of control sample (lower well), whereas Con A stimulated CD4+ T cells (upper well) had no effect (Figure 16). The inhibitory effect of CD8+ T cells was more profound at seven days incubation. CD8+ T cells apparently secrete a soluble factor that permeates the transwell membrane and inhibits bottom well proliferative responses. Con A stimulated CD4+ or CD8+ T cells from mock fed animals (upper well) showed no inhibition of Con A nonfed splenocytes (lower well) (data not shown). Con A from the upper well itself at high concentrations (50  $\mu\text{g}/\text{ml}$ ) may inhibit proliferation in the lower well; however Con A at 50  $\mu\text{g}/\text{ml}$  with CD4+ T cells in the upper well had no inhibitory effect suggesting that neither CD4+ T cell factors or Con A itself inhibited lower well proliferation. CD4+ T cells may provide immunomodulation by nonsoluble cognate factors not evaluated by the transwell system.

These results demonstrate that systemic immunobiological effects result from hrIFN- $\alpha$  ingestion of comparatively low doses (10,000-30,000 units). IFN- $\alpha$  was not detected in serum 1 hour after ingestion of 100,000 units in eight patients with relapsing-remitting multiple sclerosis (human IFN- $\alpha$  ELISA kit, Pestka Biological Laboratories, sensitivity 25 pg/ml {<10 units/ml}. Further, consistent increases in PMNC Mx mRNA expression (a type 1 IFN specific-induced gene) were not

demonstrated either in treated *normals or MS* subjects one hour post dose. Therefore, the biologic effect observed is not dependent on gastrointestinal absorption of the ingested IFN. Several early studies on the pharmacokinetics of IFNs delivered by various routes 5 reported that orally administered IFNs ( $6 \times 10^6$  units) failed to appear in the bloodstream [Cantell et al, 1973; Bocci, 1985; Bocci, 1991]. More recent studies demonstrate that oral administration of IFN- $\alpha$  in mice ( $> 5,000$  units/mouse) [Fleischmann et al, 1992], dogs 10 (3  $\times 10^6$  units/kg) [Gibson et al, 1985], monkeys (6  $\times 10^6$  units/kg) [Wills et al, 1984], or humans ( $1,350 \times 10^6$  units) [Witt et al, 1992] does not result in detectable levels of IFN- $\alpha$  in the blood. The effect 15 of orally administered IFN cannot be blocked by circulating anti-IFN antibodies in mice [Fleischmann et al, 1992]. This contrasts with parenteral administration, where administered IFN can be measured in the circulation [Gibson et al, 1985; Wills et al, 1984; Radwinski et al, 1987]. These findings strongly suggest that the biologic effect may not require transit of intact IFN across the bowel. The absence 20 of increases in biological protein markers ( $\beta 2$ -microglobulin, neopterin or 2,5 oligoadenylate synthetase) after oral administration [Witt et al, 1992], but their presence with subcutaneous or intravenous IFN- $\beta$  [Gibson et al, 1985; Wills et al, 1984; Radwinski et al, 1987; Goldstein et al, 1989] and the data above all suggest that 25 ingested IFN acts through a different mechanism. The neutropenic effect of orally administered IFN can be transferred by injection of blood cells but not by serum to recipient animals [Fleischmann et al,

1992]. In addition, passively transferred mitogen-stimulated splenic T cells from IFN fed animals can suppress disease in actively immunized recipient mice (Figure 15). Activated monocytes and lymphocytes, by virtue of their ability to circulate through the body, 5 potentially can transfer their biological activities widely in the absence of circulating cytokines after contacting IFN or IFN-induced cells in the GALT [Blalock et al, 1977, 1982; Bocci, 1988].

#### EXAMPLE 29

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Increased relative IFN-induced Mx mRNA levels are found in mouse splenocytes after ingestion of mIFN- $\alpha$ .

Mx is a type 1 IFN-specific induced protein that has anti-influenza activity [Horisberger, 1992]. Mx mRNA can potentially be 15 utilized as a sensitive marker for type 1 IFN interaction with lymphoid cells in the GALT. To demonstrate that Mx mRNA signal could be detected in lymphoid cells in the SJL/J mouse (housed in microisolators), specific primer pairs for Mx mRNA and G3PDH, a constitutively expressed mRNA, were developed and the relative 20 levels of mRNA signal were examined using semi-quantitative RT-PCR on splenocytes from naive animals, animals ingesting mock IFN and mIFN- $\alpha$  and a normal human volunteer. mRNA ( $5\text{-}6 \times 10^6$  cells) was isolated from naive (negative control), 1,000 units s.c. mIFN- $\alpha$  25 (positive control), mock fed, and animals fed 10 units mIFN- $\alpha$  after 1, 2, and 4 hours, and PMNC from a normal human volunteer at

baseline, 1, 2, 4, 6, and 24 hours after ingesting 100,000 units hrIFN- $\alpha$  and underwent RT-PCR and hybridization. Using this extremely sensitive method, Mx mRNA was demonstrated constitutively in naive and mock fed animals at low levels and in s.c. injected animals at relatively high levels (Figure 17). Animals ingesting mIFN- $\alpha$  show inducible levels of Mx mRNA in splenocytes at two hours after ingestion consistently, two times the background levels in naive or mock fed animals. A normal human volunteer demonstrated relative increased MxA mRNA at 4 and 6 hours, but not at 24 hours post 5 ingestion. Ratios of density of the main MxA band (p2) and the differentially spliced secondary MxA band (p3) divided by the G3PDH band (p1) confirm the relative increases in Mx mRNA after IFN ingestion in both mice and man. The rapid appearance of inducible Mx message in splenocytes and PMNC is not surprising in 10 light of the rapid recirculation of lymphocytes [Bocci, 1985; Butcher, 1986] and suggests that ingested IFN- $\alpha$  has distinctive effects on a type 1 mIFN- $\alpha$  -specific inducible signal, even when given via the GI tract in low amounts. This allows a close examination of the specific 15 cells in spleen and MLN (and ultimately within the GALT itself) that interact with ingested IFN.

20

Ingested IFNs generate relative increased Mx mRNA signals allowing the elucidation of the specific cell types that interact with IFN and transmit its immunomodulatory effect. The present invention in humans shows that ingested IFN has systemically measurable biological effects and modifies subclinical disease activity 25

(lowered sICAM-1 serum levels). The ingestion of biological response modifiers such as IFN- $\alpha$  may be an ideal treatment for autoimmune DM, provides a continuous means of generating immunoregulation that is convenient, active at lower doses and has minimal side effects (non-toxic).

### EXAMPLE 30

#### Isolation of RNA and preparation of cDNA.

PMNC were isolated as described in Figure 11, counted, aliquoted to Eppendorf tubes, pelleted and lysed in 500  $\mu$ l lysis solution consisting of 4M guanidine isothiocyanate, 25 mM citrate, 0.5% N-lauroylsarcosine, and 200 mM 2-mercaptoethanol. Lysates were mixed by agitation and stored at -70°C. After thawing, 50  $\mu$ l of 2 M Na acetate, pH=4, 500  $\mu$ l of water saturated phenol and 100  $\mu$ l of chloroform-iso-amyl alcohol (49:1) was added to the lysates. The mixture was then chilled on ice for 15 minutes and spun at 10,000g for 15 minutes at 4°C. The aqueous phase was removed and RNA precipitated in an equal volume of 2-propanol at -20°C for 90 minutes. Precipitates were pelleted at 4°C, washed once with 75% alcohol in diethylpyrocarbonate (DEPC)-ddH<sub>2</sub>O, and repelleted at 10,000g at 4°C for 15 minutes. Vacuum dried pellets were resuspended in 10  $\mu$ l DEPC-ddH<sub>2</sub>O containing 2  $\mu$ g oligo-dT (12-18 mer) and incubated at 65°C for 10 minutes. After cooling on ice, the mixture was incubated with 10  $\mu$ l of 2x buffer (100 mM Tris-Cl, pH

8.3, 150 mM KCL, 6 mM MgCl<sub>2</sub>, 20 mM DTT) and 200U of MMLV  
reverse transcriptase, 1 mM dNTPs, 100 µg/ml acetylated BSA, and  
25 U of RNAsin for 60 minutes at 37°C. Tubes were then heated to  
95°C for 5-10 minutes, and 80 µl of ddH<sub>2</sub>O added to the 20 µl  
5 reaction mixture. Samples were stored at 4°C until further use.

### EXAMPLE 31

#### PCR conditions:

10 Cytokine specific primer pairs for the inducible MxA mRNA and the constitutively expressed G3PDH mRNA were designed by JSW and obtained from GynSys, The Woodlands, TX. The primer pairs are complimentary to exons separated by at least one intron to avoid unrecognized amplification of cellular DNA and are designated  
15 by the relative position in the human mRNA {Mx: Plus strand primer GTGGAGCAGGACCTGGCCCTG (400-420); minus strand primer GAGCCTCTGTGGTGGCAATG (895-876); G3PDH: plus strand primer CAACGGATTGGTCGTATTGGGCGC (84-108); minus strand primer TTACTCCTGGAGGCCATGTGGGCC (1068-1094)}. 5 µl of cDNA  
20 (representing DNA derived from 3-5 x 10<sup>6</sup> cells) was amplified in 0.5 ml Gene-amp reaction tubes (Cetus Corp) in 200 µM final concentration of the four primers, 200 mM dNTPs, 0.5 U of Taq polymerase, and PCR buffer containing 2.5 mM MgCl<sub>2</sub>, 50 mM KCL, 10 Mm Tris-Cl pH 8.3, and 0.001% gelatin in a final volume of 25 ml.  
25 The reaction mixture was overlaid with a drop of light mineral oil,

and PCR performed in a DNA thermal cycler (M.J. Research) for 30 cycles: 60 seconds denaturation at 94°C, 120 seconds annealing at 60°C, and 3 minutes extension at 72°C. The reaction product was visualized by electrophoresis of 20 µl of the reaction mixture at 80 V for 70 minutes in 2% agarose in 0.5x TBE buffer containing 0.5 mg/ml ethidium bromide. Specificity of the amplified product was validated by the predicted size following transfer to nitrocellulose, hybridization with 3'-digoxigenin-labeled (Genius(5, Boeringer Mannheim, Indianapolis, IN) internal oligonucleotide probes  
10 (MxA: ACCAGATGCCCTCTGGTGCTG, 405-425; and  
GAPDH: GGCTCTCCAGAACATCATCCCTGCC, 687-663), and chemiluminescent detection with an alkaline phosphatase-conjugated anti-digoxigenin antibody and [4-methoxy-4-(3-phosphatephenyl) spiro(1,2-dioxetane- (3,2'-adamantane)] substrate (Genius (7; Boeringer Mannheim) and exposure for 15 and 30 minutes to X-ray film. The relative amount of MxA and GAPDH message amplified was determined by densitometric analysis of the bands on exposed film.  
15 The biologic effect observed herein is probably not dependent on gastrointestinal absorption of the ingested IFN.  
20 Several early studies on the pharmacokinetics of IFNs delivered by various routes reported that orally administered IFNs ( $6 \times 10^6$  units) failed to appear in the bloodstream. More recent studies demonstrate that oral administration of IFN- $\alpha$  in mice (> 5,000 units/mouse), dogs ( $3 \times 10^6$  units/kg), monkeys ( $6 \times 10^6$  units/kg), or  
25 humans ( $1,350 \times 10^6$  units) does not result in detectable levels of

IFN- $\alpha$  in the blood. The effect of orally administered IFN cannot be blocked by circulating anti-IFN antibodies in mice. This contrasts with parenteral administration, where administered IFN can be measured in the circulation. These findings strongly suggest that the  
5 biologic effect may not require transit of intact IFN across the bowel. The absence of increases in biological protein markers ( $\beta$ 2-microglobulin, neopterin or 2,5 oligoadenylate synthetase) after oral administration, but their presence with subcutaneous or intravenous IFN- $\beta$  and the data above all suggest that ingested IFN acts through a  
10 different mechanism, such as an interaction with type I IFN receptors on GALT. The neutropenic effect of orally administered IFN can be transferred by injection of blood cells but not by serum to recipient animals. In addition, passively transferred mitogen-stimulated splenic T cells from IFN fed animals can suppress disease  
15 in actively immunized recipient mice. Activated monocytes and lymphocytes, by virtue of their ability to circulate through the body, potentially can transfer their biological activities widely in the absence of circulating cytokines after contacting IFN or IFN-induced cells in the gut associated lymphoid tissue (GALT).

20           The present invention in humans demonstrates that ingested IFN has systemically measurable biological effects and may modify subclinical disease activity. The ingestion of biological response modifiers such as IFN- $\alpha$  potentially provides a continuous means of generating immunoregulation that is convenient, active at  
25 lower doses with minimal side effects, and may provide enhanced

efficacy via unique and potent immunoregulatory circuits in the GALT. The oral route may circumvent potential therapeutic limitations associated with neutralizing antibodies to injected type I IFNs in subjects with MS and other diseases.

5

### EXAMPLE 32

#### NOD mouse - inhibition of spontaneous disease:

10 Non-obese diabetic (NOD) female four - eight week old mice were obtained from Taconic Farms, maintained and fed under pathogen-free conditions in microisolators, and handled under negative pressure sterile hoods. Surveillance animals were maintained with experimental animals and examined regularly for  
15 routine murine pathogens. Diabetes (blood sugar > 11.1 mmol/l; > 200 mg/ml) occurred in 50% of naive or mock fed female NOD mice by age 19 weeks in our facility.

Ten units of murine natural IFN- $\alpha$  (mIFN- $\alpha$ ) (Cytimmune mouse IFN- $\alpha$ ,  $4.0 \times 10^5$  IRU/ml, Lee Biomolecular Research, Inc., San  
20 Diego, CA), or mock murine IFN- $\alpha$  (Cytimmune < 2 IRU/ml, Lee Biomolecular Research, Inc., San Diego, CA. (generated identically to IFN- $\alpha/\beta$  except cultures are mock induced)) was administered using a 2.5 cm syringe fitted with a 22-24 gauge ball point needle (Thomas Scientific, Swedesboro, NJ) every other day or daily to NOD mice  
25 beginning at age five or nine weeks and continuing until blood glucose was positive for two consecutive determinations. Untreated

(mock fed with saline) and unfed (naive) mice showed no differences in the onset or proportion of diabetes and were combined for purposes of analysis. IFN- $\alpha$  was directly delivered to the distal esophagus, stomach and proximal small intestine bypassing the oropharynx (as determined experimentally by injecting Evans blue during routine feeding and subsequent sacrifice). Mock murine IFN control was used. This is not a conditioned media, but rather a preparation identical to the IFN preparation except it is not induced with Newcastle disease virus (according to Lee Biomolecular). Therefore the mock murine preparation has whatever normal cell components are contained in the Newcastle disease virus-induced preparation. Inactivated IFN preparation denatured by trypsin and 2-ME and boiling was not used. Although this procedure may denature disulfide linkages and break the intact molecule, it remains problematic whether this method for *in vitro* use would work in the model where very low amounts are administered *in vivo*. In addition, alterations in the secondary and tertiary structure of IFN may itself induce an immune response, with subsequent immunosuppression.

These animals were followed after initiation of feeding by weekly blood glucose determination (Life Scan One Touch II, Johnson & Johnson) beginning at week 10. Animals were considered diabetic if two consecutive blood glucose determinations were above  $> 11.1$  mmol/l. Disease course was plotted as Kaplan-Meier curve with the y axis denoting the % remaining non-diabetic mice.

The animals were sacrificed after blood sugar  $> 11.1$

mmol/l, and pancreases were harvested, fixed in 10% formalin, embedding in paraffin, sectioned at 5 microns, and stained with H/E. Pancreases were scored by a pathologist blinded to the treatment groups. Coded slides were read by light microscopy. Islet inflammation (insulinitis) was graded 0 to 4, according to the extent of peri-and intra-islet infiltration by mononuclear cells: 0 = none; 1 = peri-islet leucocytes without islet infiltration; 2 = < 25% islet area infiltrated by leucocytes; 3= 25 - 75% islet area infiltrated; and 4 = > 75% islet area infiltrated. A mean insulinitis score was calculated for each pancreas by dividing the sum of the insulinitis score for individual islets by the number of islets examined (> 40/pancreas) (Miller, B., et al. 1988).

15

### EXAMPLE 33

#### Measurement of Cytokine Secretion:

Individual spleens were aseptically removed and single cell suspensions were prepared by passage through a 90 µm stainless wire meshes. Red cell lysis was performed in the spleen cell suspensions with 2 mls of ACK solution added to the pellet and the reaction allowed to continue at 5 minutes at room temperature. Suspensions were washed three times in Dulbecco's PBS, then stimulated with Con A at a final concentration of 2.5 µg/ml (Sigma Chemical Co., St Louis, MO) or ionomycin at 100 ng/ml (Calbiochem, La Jolla, CA) in combination with myristic acid palmityl ester (PMA)

at 1 ng/ml (Sigma Chemical Co., St. Louis, MO) for 48 hours with 2 x 10<sup>6</sup> cells/ml in 96 well U-bottomed plates in a humidified 5% CO<sub>2</sub>/95% air incubator at 37°C. Interleukins was measured using solid phase ELISA. Murine IL-1, IL-2, IL-4, IL-6, IL-10, IFN-γ kits (Biosource International, Camirillo, CA), TNF-α and TGF-β kits (Genzyme, Cambridge, MA) were utilized.

Statistical analysis was performed using Mann Whitney test or and one-tailed student's t-test for parametric data or Mann Whitney rank sum test for nonparametric data.

10

#### EXAMPLE 34

Ingested (oral) mIFN-α suppresses IDDM in NOD mice:

15 In the first set of experiments, twenty NOD female mice were obtained from Taconic Farms at eight weeks of age and were untreated (naive or fed with mock IFN) (n =10) or treated with 10 units mIFN-α (n = 10) every other day starting at nine weeks. Animals were followed weekly for 13 weeks in total for elevated 20 serum glucose by venipuncture. Data was analysed for time to overt diabetes in each group by Kaplan Meier survival curve with % nondiabetic vs age. Animals treated orally with mIFN-α demonstrated delayed onset of IDDM and a decreased frequency of diabetes compared to untreated animals (p <0.02 by Mann Whitney rank sum test) (figure 18). There was also a decreased grade of islet 25

inflammation in the treated versus the untreated group (untreated  $2.2 \pm 0.3$  {n=8} vs treated  $1.1 \pm 0.2$  {n=8}, p < 0.01 by t test) (figure 19).

In a second set of experiments, another twenty NOD female mice at eight weeks of age were untreated (naive or fed with mock IFN) (n = 10) or treated with 10 units mIFN- $\alpha$  (n = 10) every day starting at nine weeks and followed as described above. Data was again analysed for time to overt diabetes in each group by Kaplan Meier survival curve with % nondiabetic vs age. Animals treated with oral mIFN- $\alpha$  demonstrated a more significant delay in onset of IDDM and decreased frequency of diabetes compared to untreated animals (p < 0.01 by Mann Whitney rank sum test) (figure 20).

A third set of experiments examined whether every day feedings starting at an earlier age (five weeks) further enhanced the therapeutic effect of ingested mIFN- $\alpha$ . Twenty 4 week old NOD female mice were untreated (naive or fed with mock IFN) (n = 8) or treated with 10 units mIFN- $\alpha$  (n = 8) every other day starting at five weeks of age. Animals were followed for 21 weeks in total. Animals treated orally with mIFN- $\alpha$  demonstrated significantly greater delayed onset of IDDM and decreased frequency of diabetes compared to the untreated group (p < 0.002 by Mann Whitney rank sum test) (figure 21). The results from figure 18 and 21 suggest that every other day treatment with ingested mIFN- $\alpha$  initiated at five weeks is more effective than treatment at a later stage in the NOD

mouse model.

### EXAMPLE 35

5

Ingested mIFN- $\alpha$  increases the mitogen-induced production of IL-2, IL-4, IL-6, IL-10 and IFN- $\gamma$  in spleen cells:

10

The cytokine profiles of Con A and ionomycin/PMA stimulated spleen cells in untreated versus oral mIFN- $\alpha$  treated mice were compared. Whole splenocytes from non-diabetic mice from experiment #2 were stimulated with Con A and ionomycin/PMA and IL-1, IL-2, IL-4, IL-6, IL-10, IFN- $\gamma$ , TNF- $\alpha$  and TGF- $\beta$  was measured. Splenocytes from IFN- $\gamma$  treated mice showed increased production of IL-2, IL-4, IL-6, IL-10 and IFN- $\gamma$  after Con A stimulation and increased production of IL-4, IL-10 and IFN- $\gamma$  after ionomycin/PMA stimulation (figure 22). There were no changes in Con A or ionomycin/PMA stimulated TNF- $\alpha$  (figure 22b), IL-1 and TGF- $\beta$  secretion (figure 22c) comparing untreated and IFN- $\alpha$  treated mice.

15

20

25

The ingestion of the biological response modifier IFN- $\alpha$  is an ideal treatment for autoimmune DM, providing a continuous means of generating immunoregulation that is convenient, active at lower doses with minimal side effects (non-toxic), optimally effective given early in the disease course and providing enhanced efficacy via unique and potent immunoregulatory circuits in the GALT. Human IDDM is preceded by a long presymptomatic period--suggesting that

the clinical symptoms of disease arise only after the destruction of the majority of b cells. Ingested IFN- $\alpha$  is no doubt applicable to human IDDM as an effective therapy administered at a preclinical asymptomatic stage during vulnerable periods in at-risk populations (non-diabetic relatives of IDDM patients with anti-64kDa autoantibodies including the 65kD isoform, high titers of islet cell antibodies (ICA), and insulin autoantibodies (IAA), in multiplex families).

All patents and publications mentioned in this specification are indicative of the levels of those skilled in the art to which the invention pertains. Any patents and publications are herein incorporated by reference to the same extent as if each individual publication was specifically and individually indicated to be incorporated by reference.

One skilled in the art will readily appreciate that the present invention is well adapted to carry out the objects and obtain the ends and advantages mentioned, as well as those inherent therein. The present examples along with the methods, procedures, treatments, molecules, and specific compounds described herein are presently representative of preferred embodiments, are exemplary, and are not intended as limitations on the scope of the invention. Changes therein and other uses will occur to those skilled in the art which are encompassed within the spirit of the invention as defined by the scope of the claims.

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**WHAT IS CLAIMED IS:**

1. A method of treating an auto-immune disease in an animal comprising the step of orally administering a type one interferon to said animal such that the type one interferon is ingested after oral administration.
- 10 2. The method of claim 1, wherein said interferon is selected from alpha-interferon and beta-interferon.
- 15 3. The method of claim 2, wherein said interferon is selected from the group consisting of human recombinant interferon, rat interferon and murine interferon.
- 20 4. The method of claim 2, wherein said interferon is administered in a dosage of from about 50 I.U./kg to about 25,000 I.U./kg.
- 25 5. The method of claim 1, wherein said interferon is administered every other day.

6. The method of claim 1, wherein said animal is a  
human.

5

7 The method of claim 1, wherein said auto-immune  
disease is selected from the group consisting of multiple sclerosis,  
rheumatoid arthritis, diabetes mellitus, psoriasis, organ-specific auto-  
10 immune diseases, chronic inflammatory demyelinating  
polyradiculoneuropathy and Guillain-Barré syndrome.

8. A method of decreasing the incidence of insulin-  
dependent diabetes mellitus in at-risk populations, comprising the  
15 step of orally administering INF- $\alpha$  to individuals of said at-risk  
population.

20 9. The method of claim 8, wherein said interferon is  
selected from the group consisting of human recombinant interferon,  
rat interferon and murine interferon.

10. The method of claim 8, wherein said interferon is administered in a dosage of from about 5 I.U./kg to about 50,000 I.U./kg.

5

11. The method of claim 8, wherein said interferon is administered every other day.

10 12. A method of reducing blood glucose levels in an animal comprising the step of orally administering INF- $\alpha$  to said animal such that the INF- $\alpha$  is ingested after oral administration.

15 13. The method of claim 12, wherein said interferon is selected from the group consisting of human recombinant interferon, rat interferon and murine interferon.

20 14. The method of claim 12, wherein said interferon is administered in a dosage of from about 50 I.U./kg to about 25,000 I.U./kg.

15. The method of claim 12, wherein said animal is a human.

25

16. A method of decreasing the onset of insulin-dependent diabetes mellitus in at-risk populations, comprising the step of orally administering INF- $\alpha$  to individuals of said at-risk population.

5

17. The method of claim 16, wherein said INF- $\alpha$  is selected from the group consisting of human recombinant interferon, rat interferon and murine interferon.

10

14. The method of claim 16, wherein said interferon is administered in a dosage of from about 50 I.U./kg to about 25,000 I.U./kg.

15

## **ABSTRACT OF THE DISCLOSURE**

The present invention provides a method of treating  
5 auto-immune diseases in an animal comprising the step of orally  
administering a type one interferon to said animal. Also provided is  
a method of reducing inflammation associated with an auto-immune  
disease in an animal comprising the step of orally administering a  
type one interferon to said animal. Further provided is a method of  
10 decreasing the levels of a cytokine in an individual having multiple  
sclerosis, comprising the step of orally administering a type one  
interferon to said individual, wherein said cytokine is selected from  
the group consisting of TGF- $\beta$ , IL-2, IL-10, IFN- $\gamma$  and the  
inflammatory soluble serum marker ICAM-1. In addition, the  
15 present invention provides a method of decreasing the incidence of  
insulin-dependent diabetes mellitus in at-risk populations,  
comprising the step of orally administering INF- $\alpha$  to individuals of  
said at-risk population.

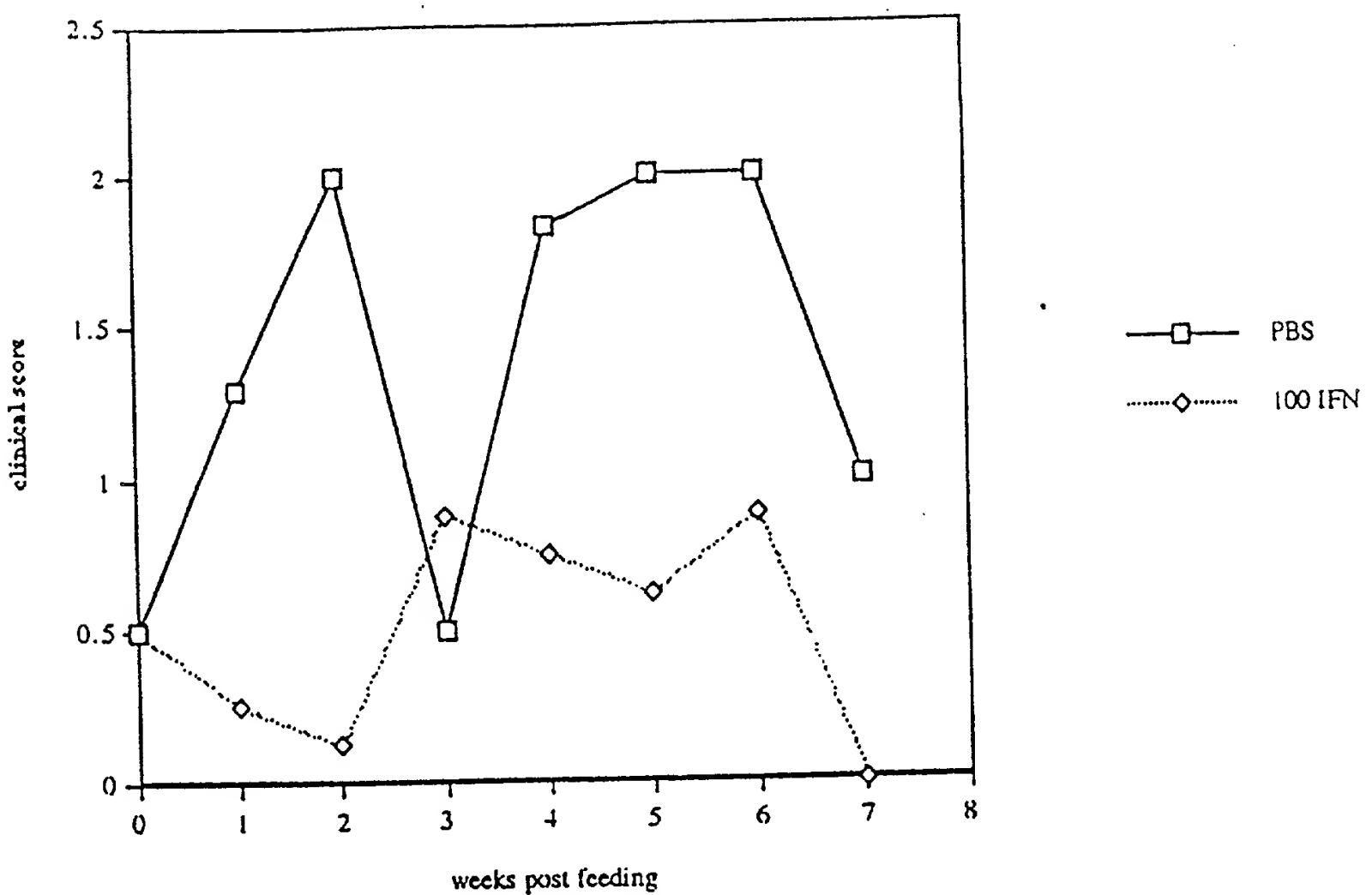


FIGURE 1

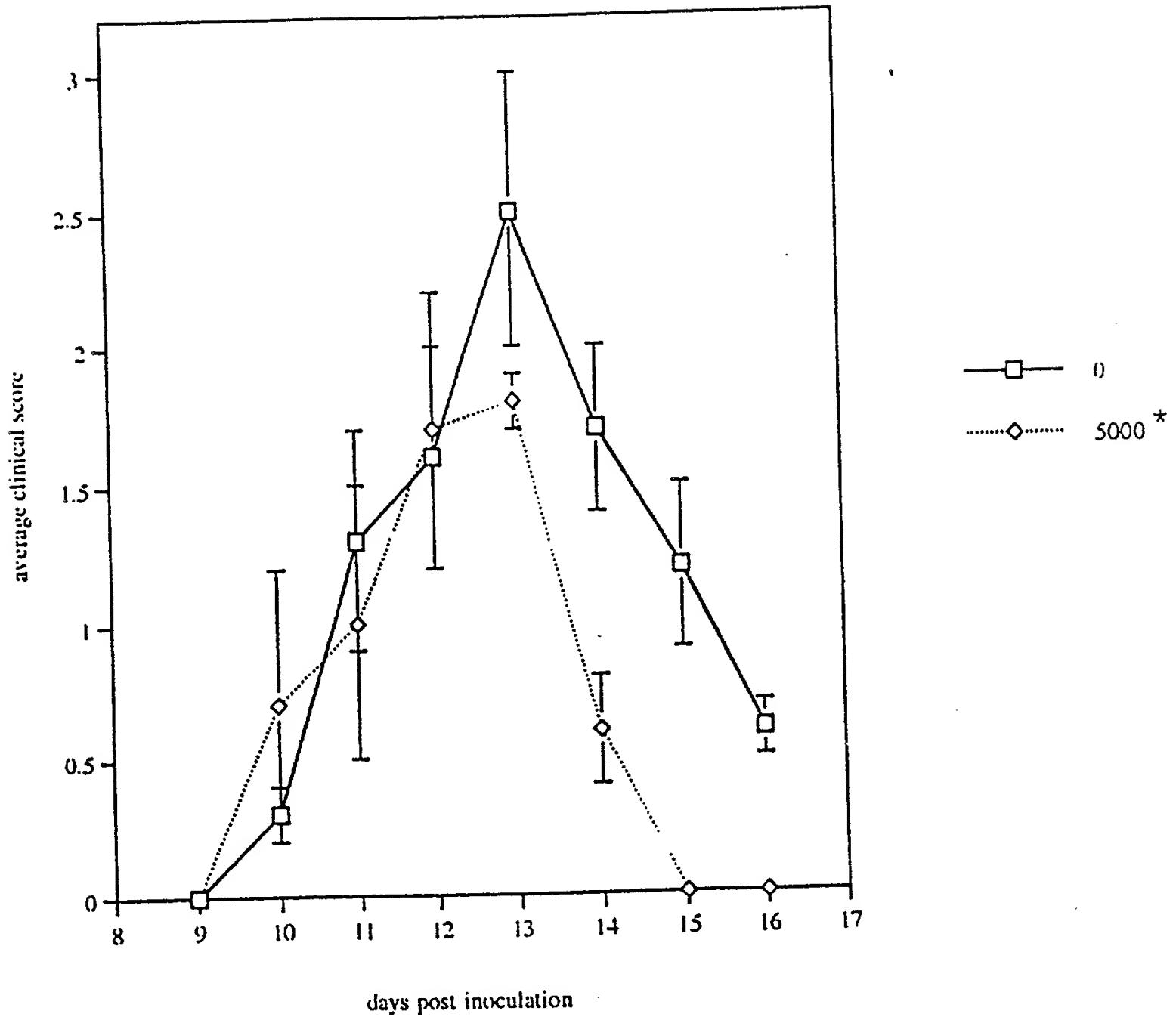


FIGURE 2

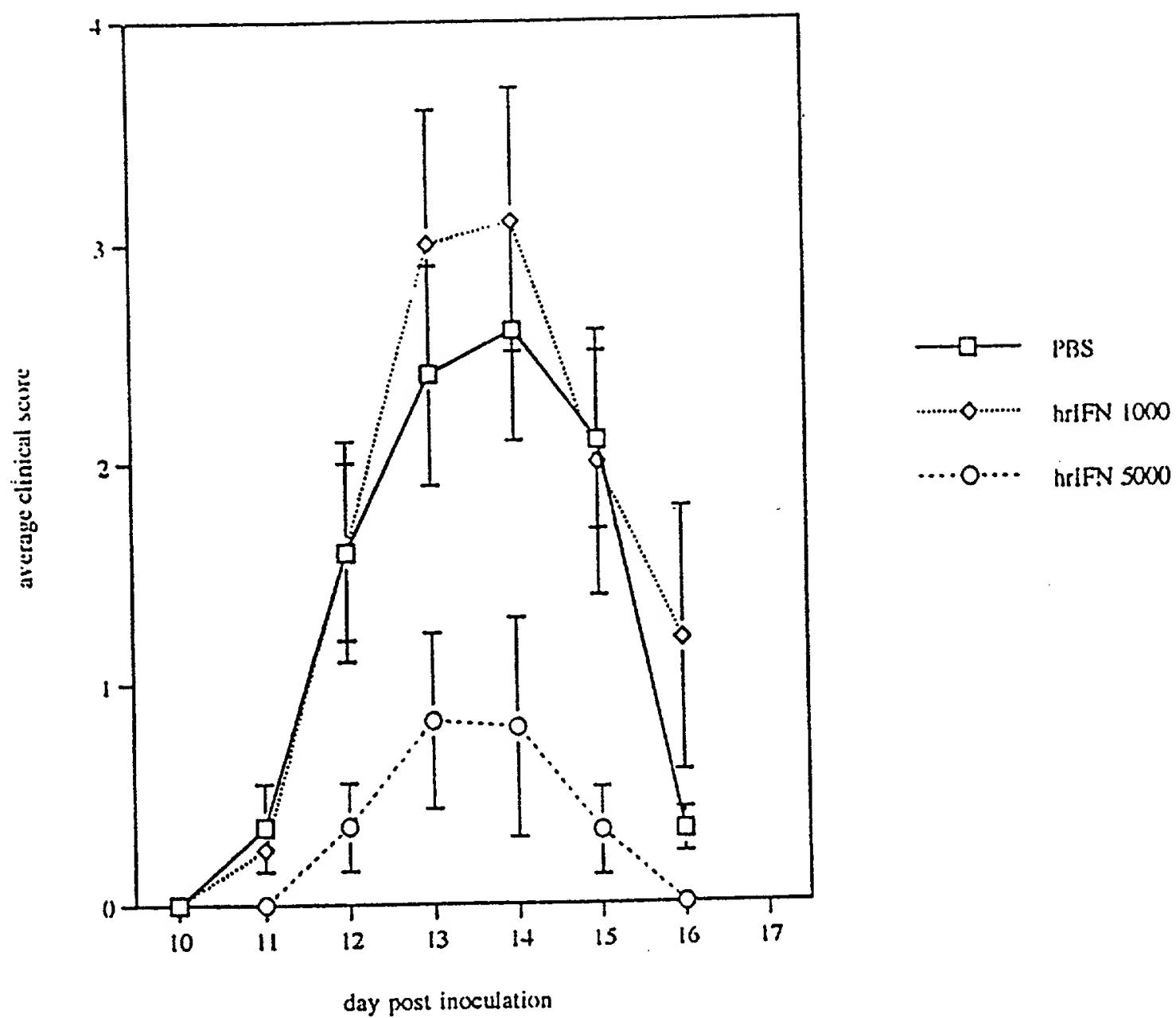


FIGURE 3

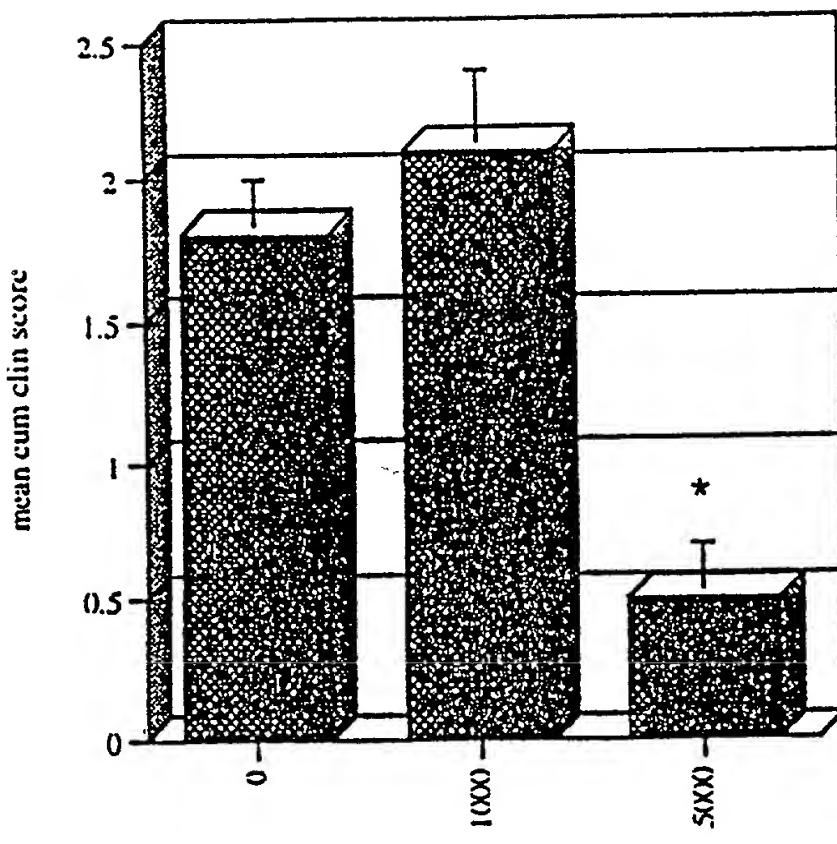


FIGURE 4

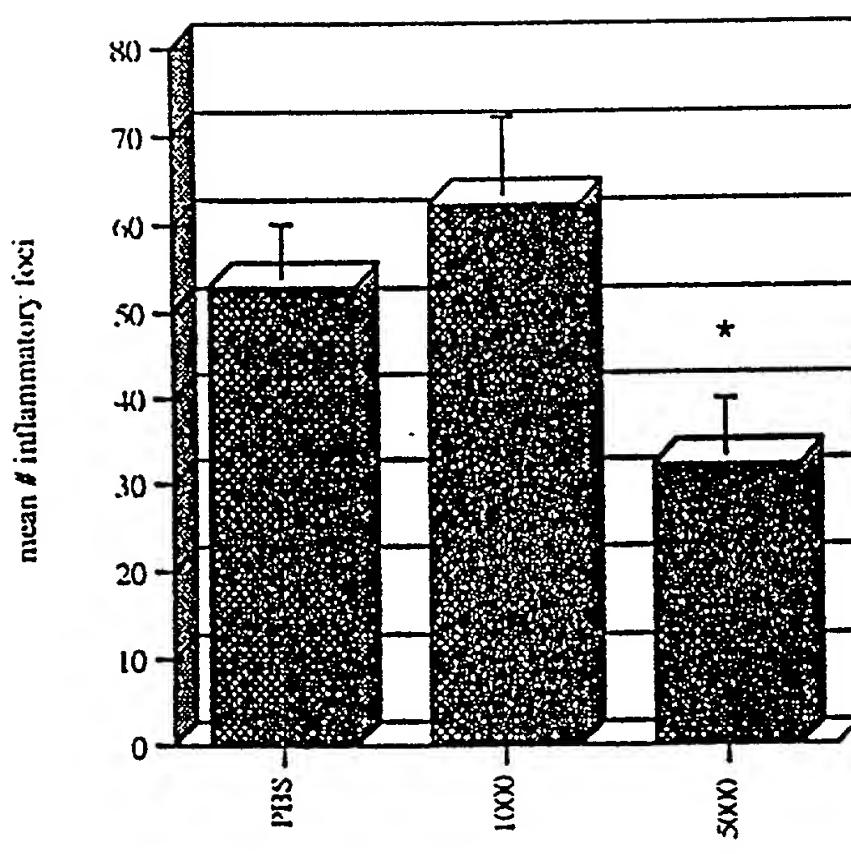


FIGURE 5

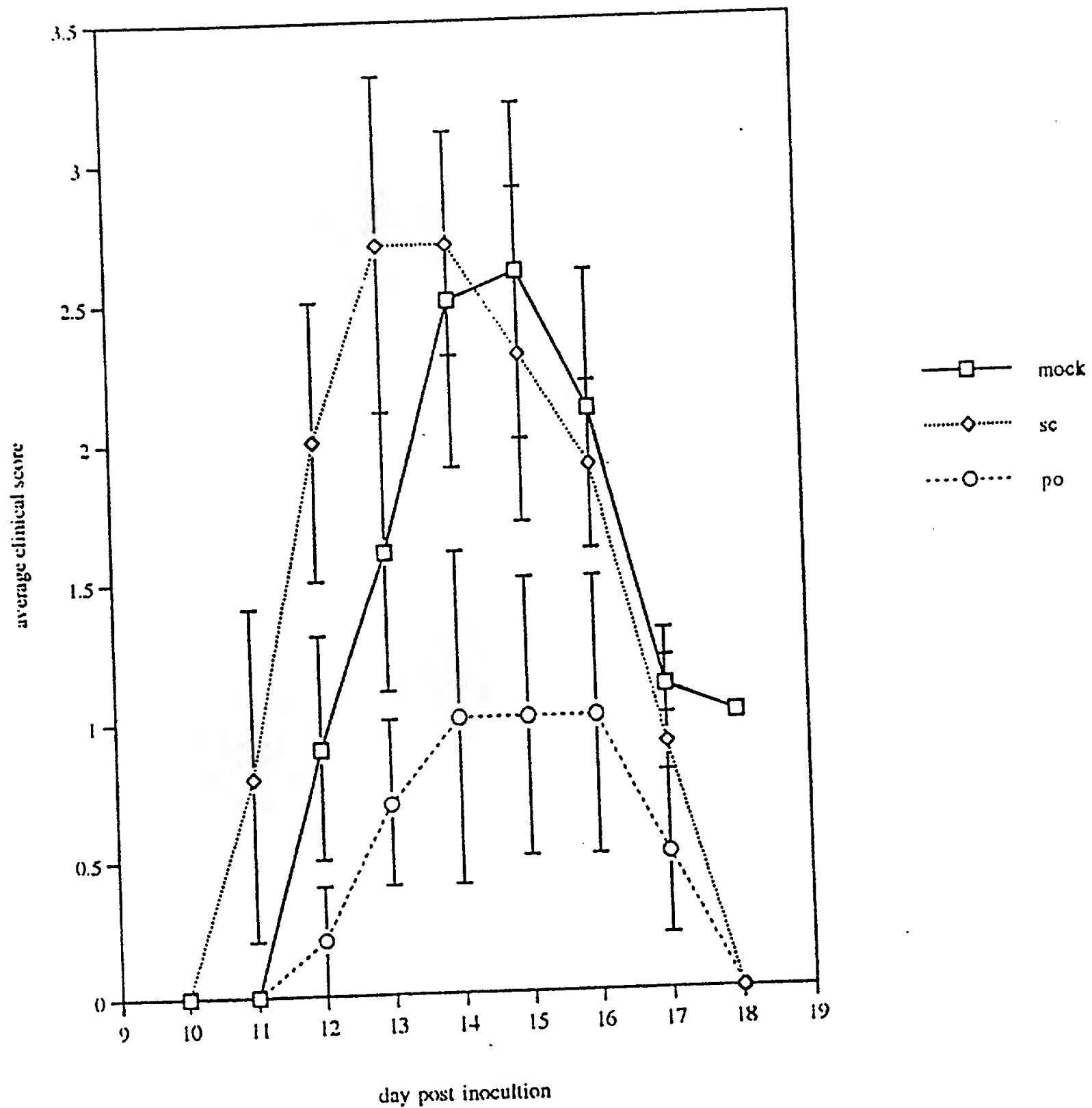


FIGURE 6

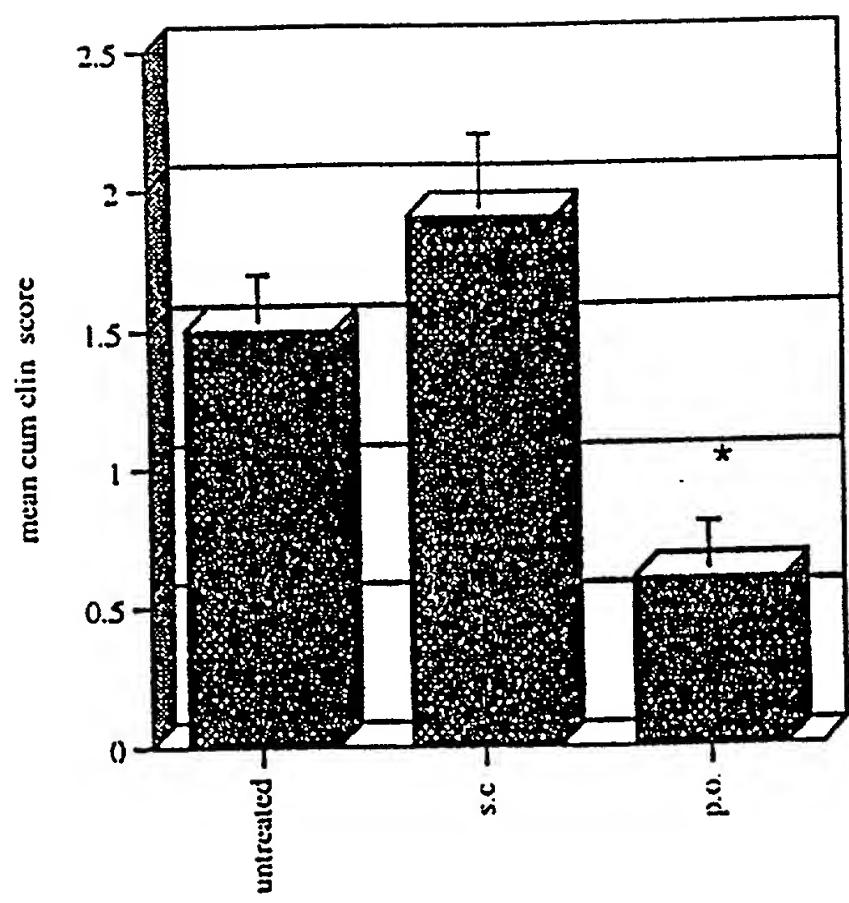


FIGURE 7

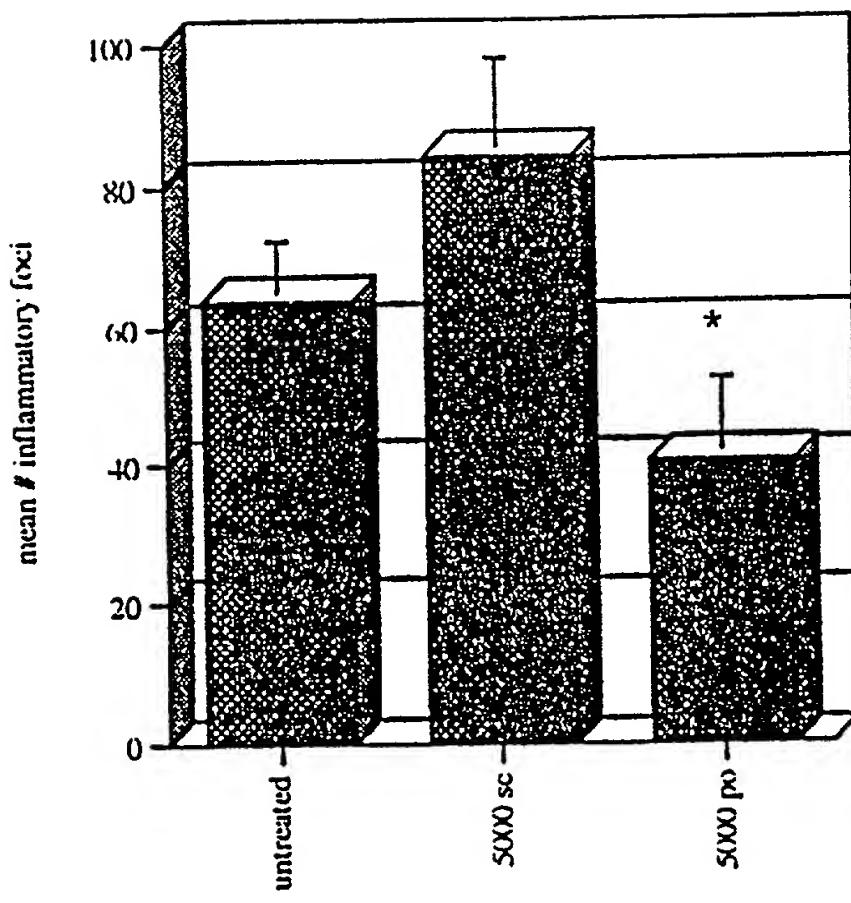


FIGURE 8

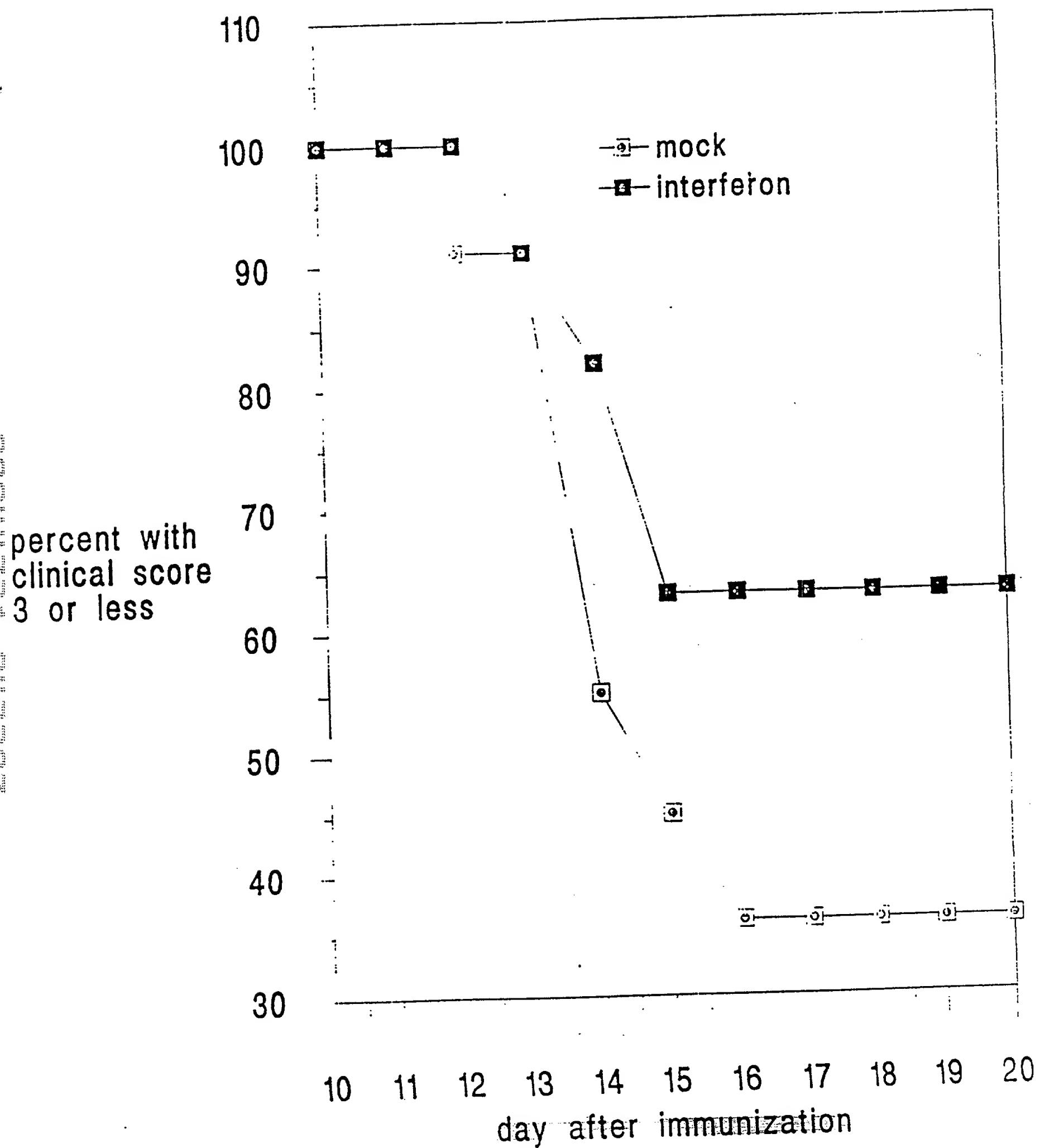


FIGURE 9A

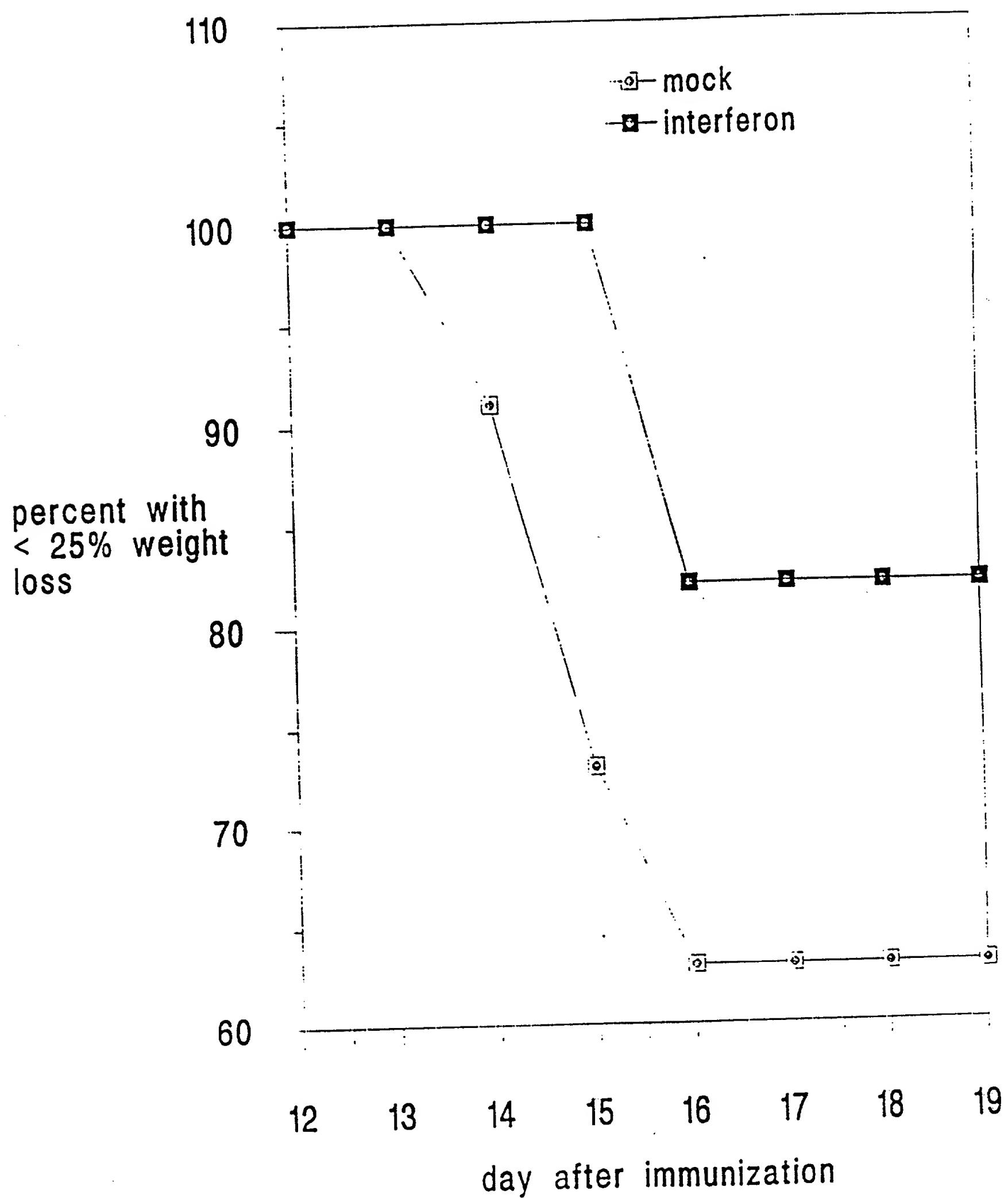


FIGURE 9B

FIGURE 10 A



FIGURE 10 B



FIGURE 10 C

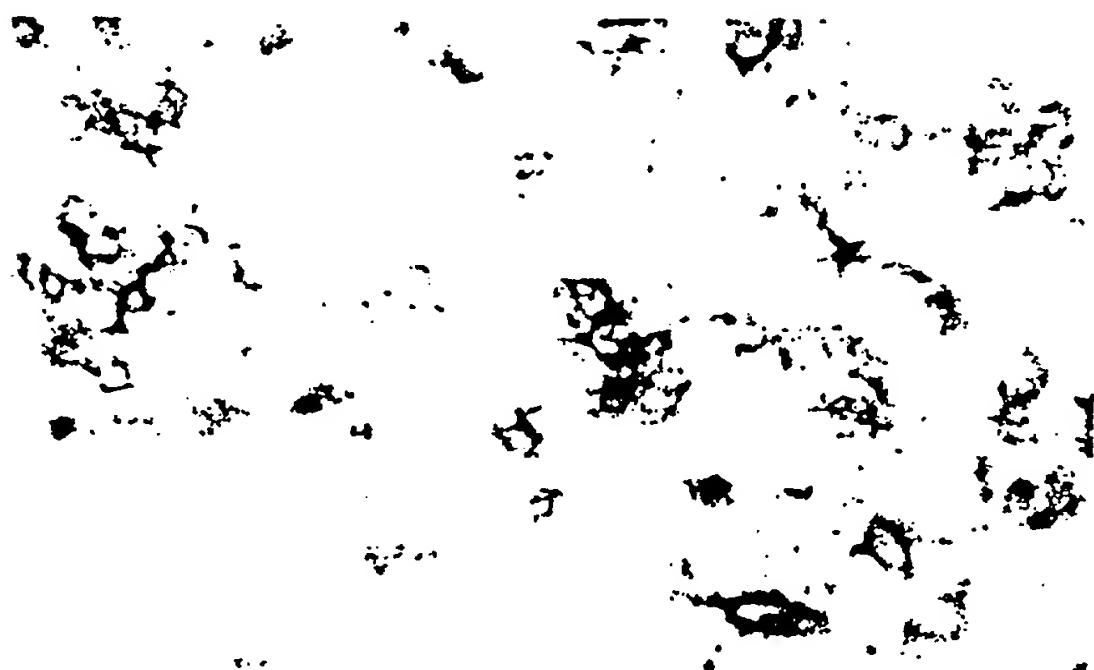


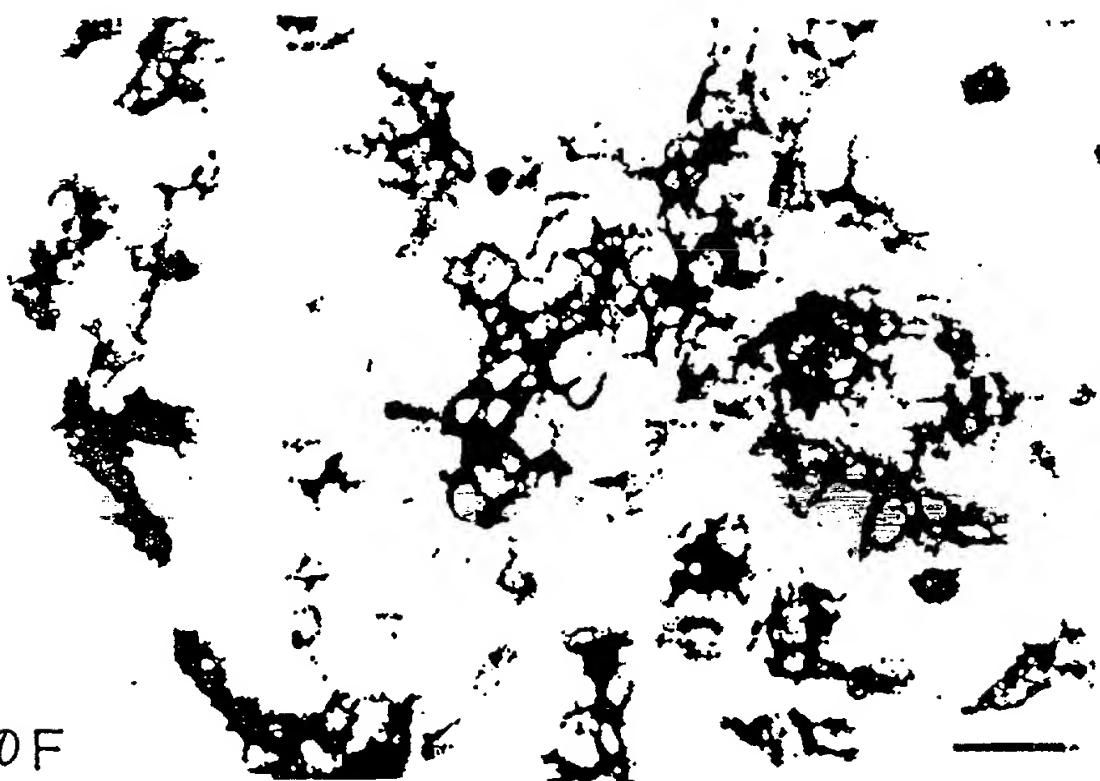
FIGURE 10 D



FIGURE 10 E



FIGURE 10 F



CD3 30,000 IFN- $\gamma$

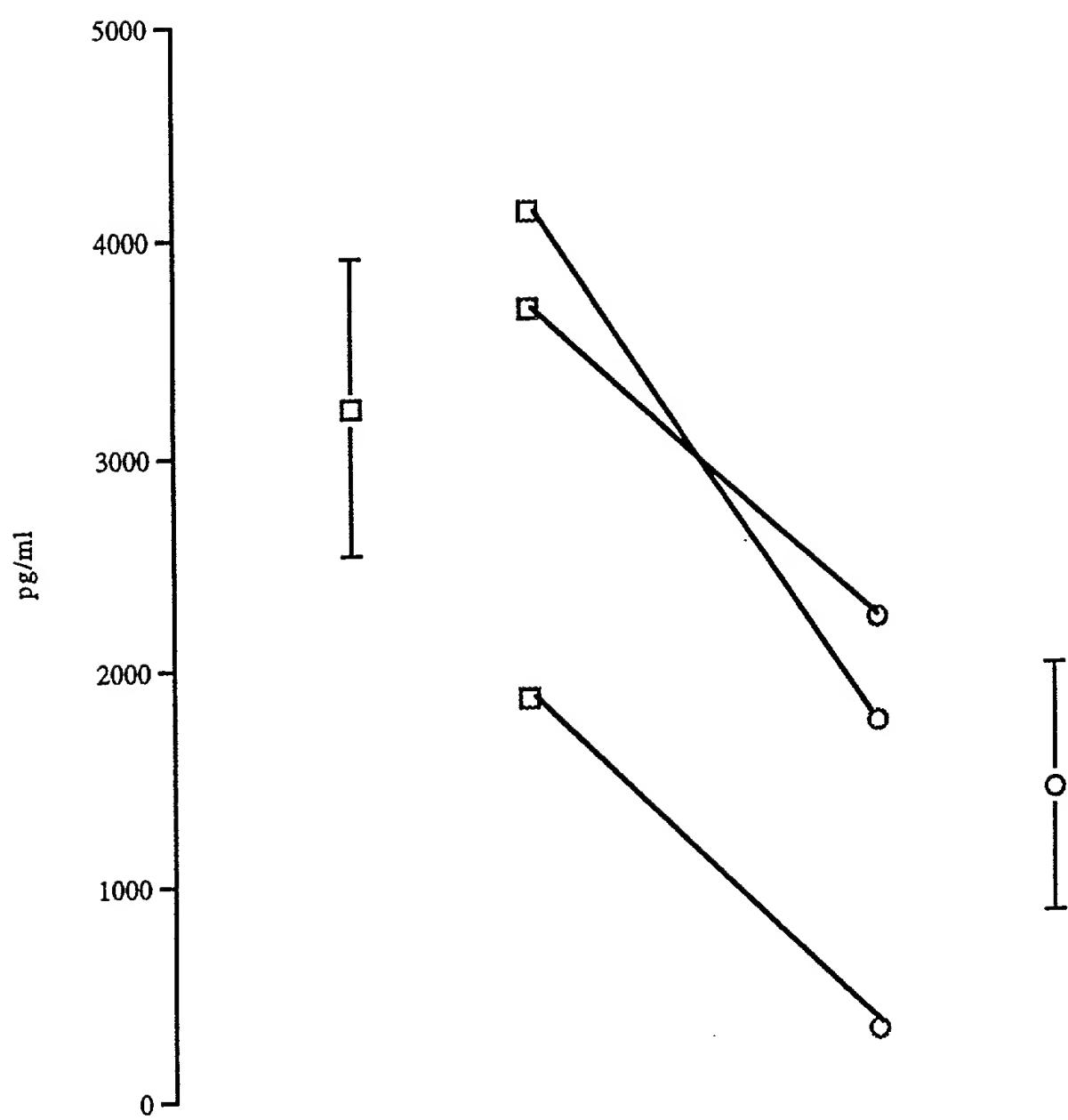
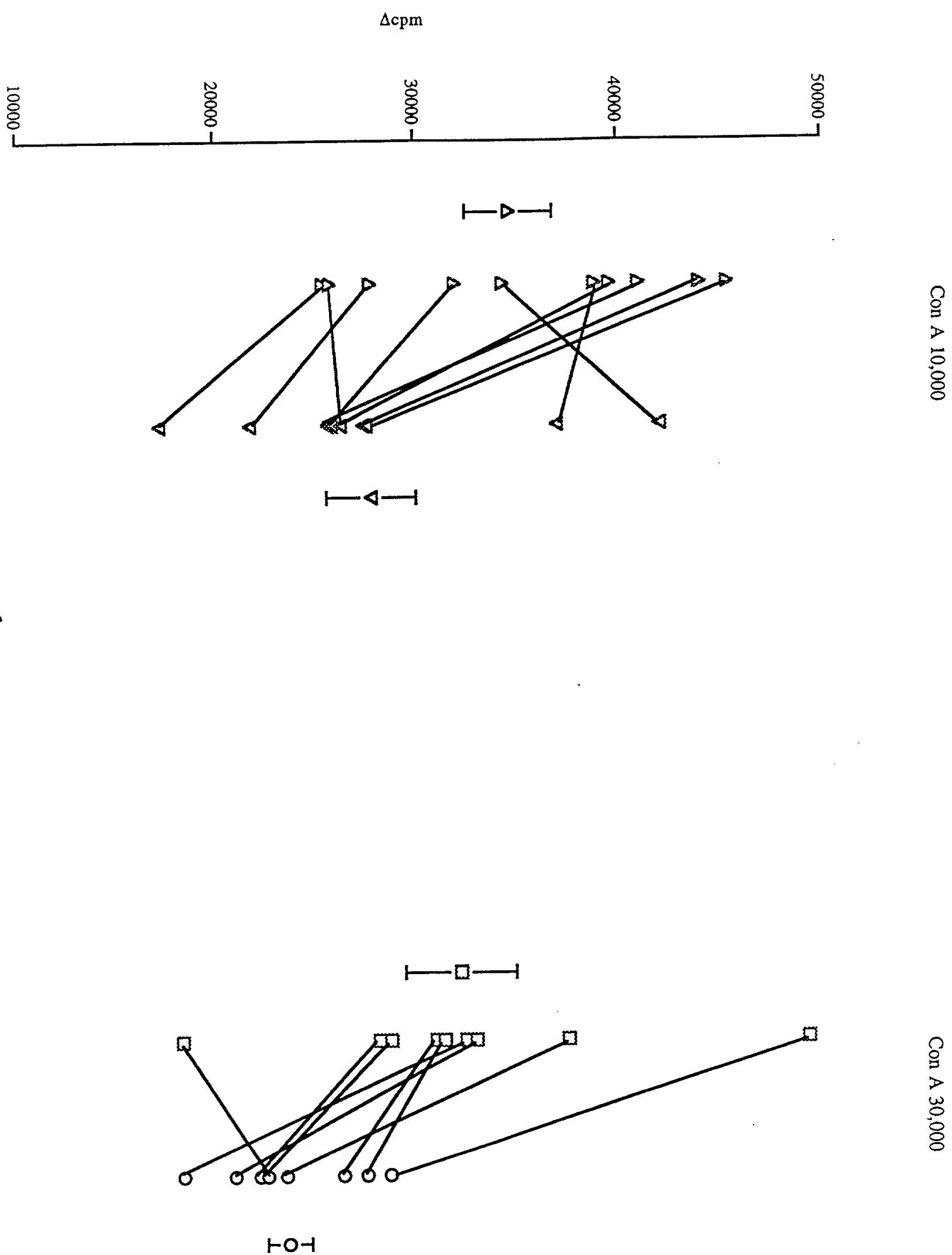
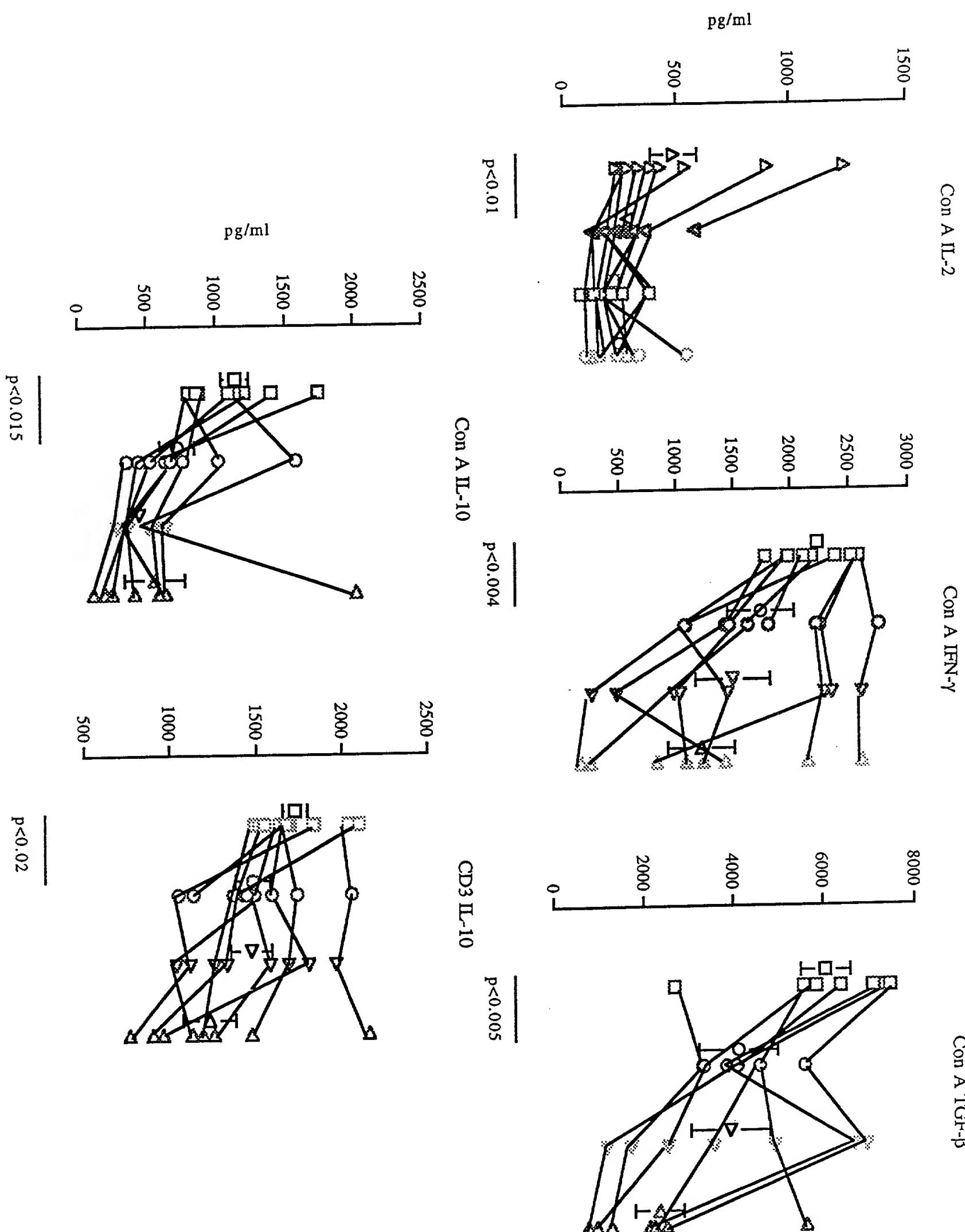


Figure 11

**FIGURE 12**





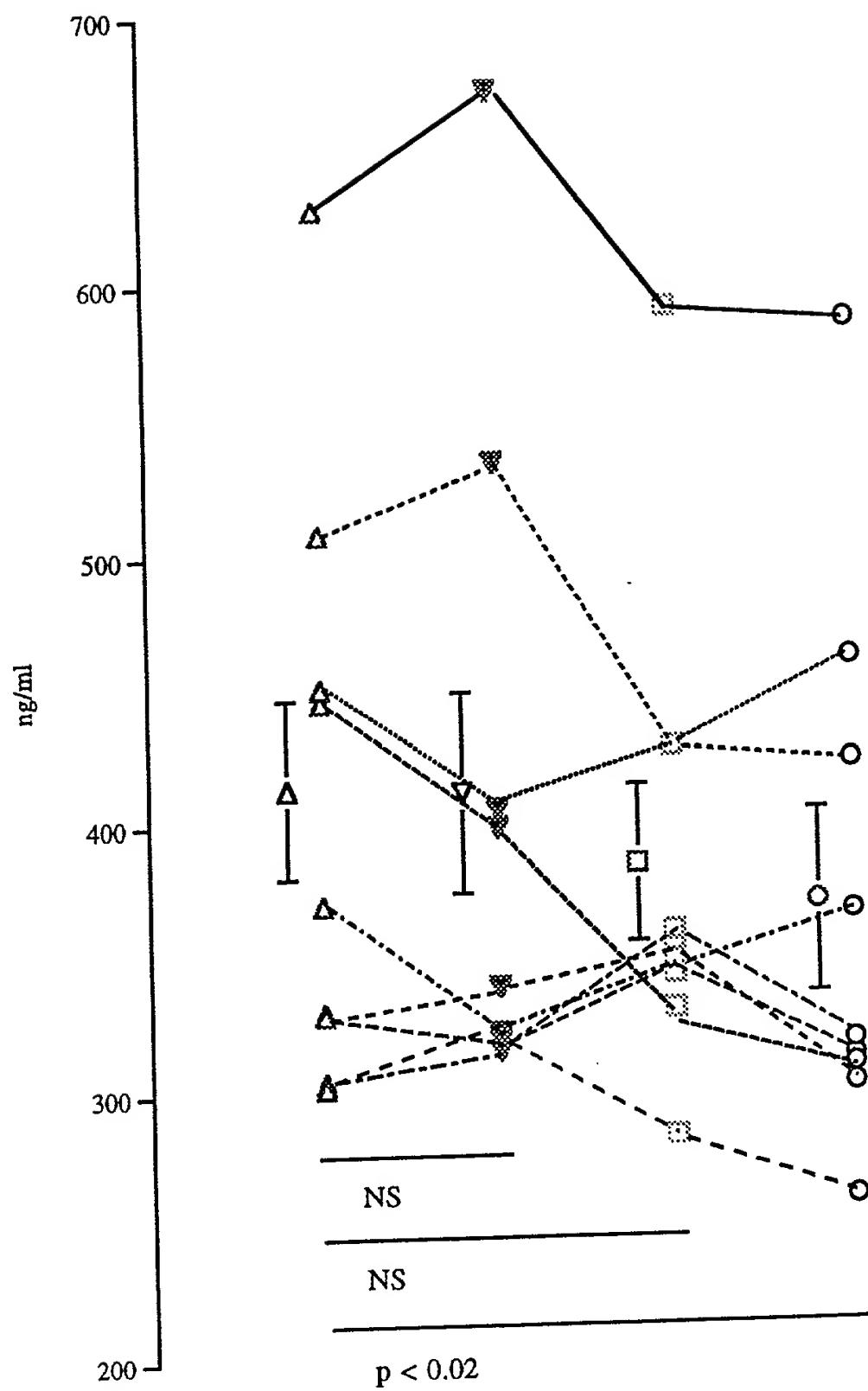


Figure 14

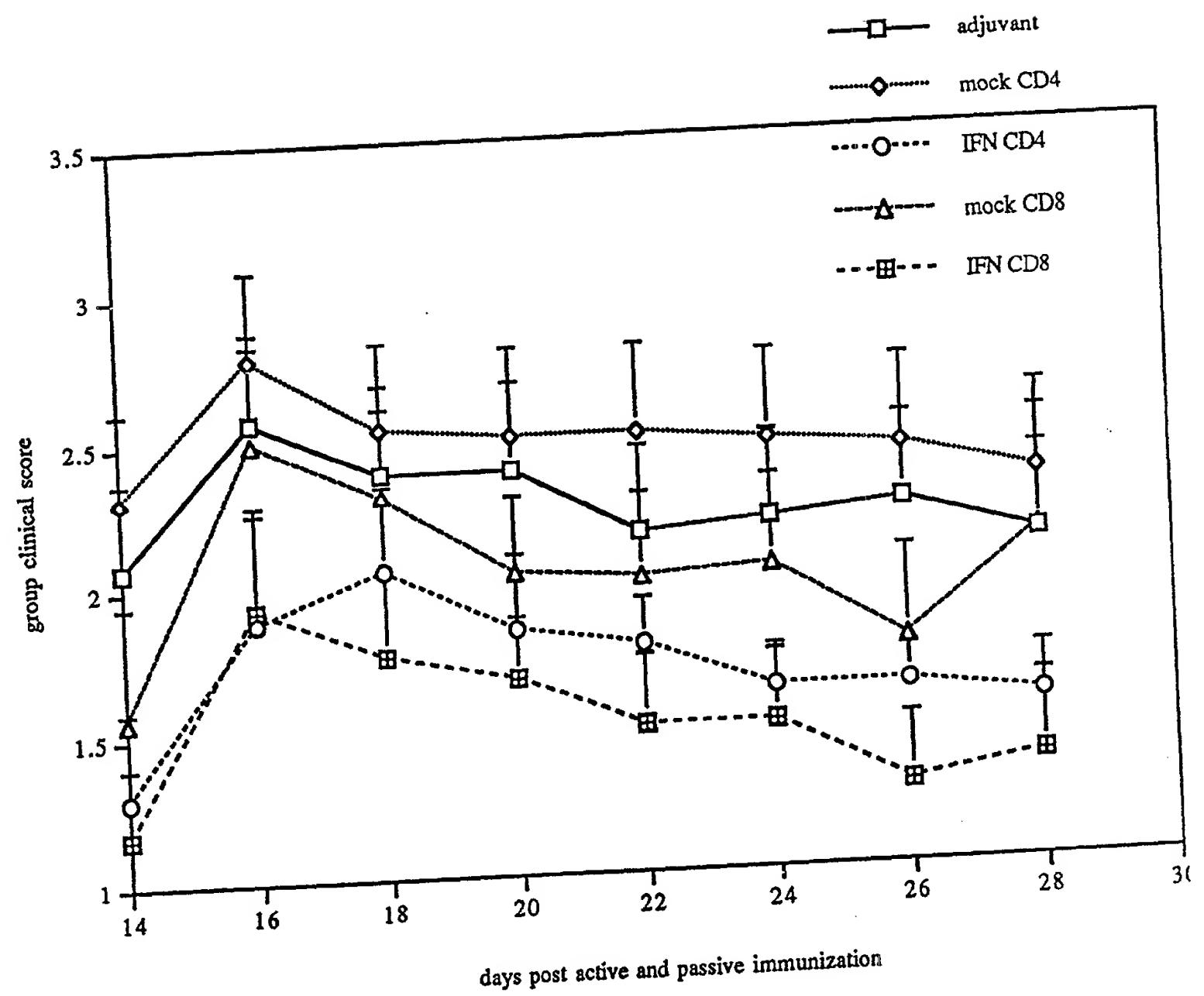


FIGURE 15

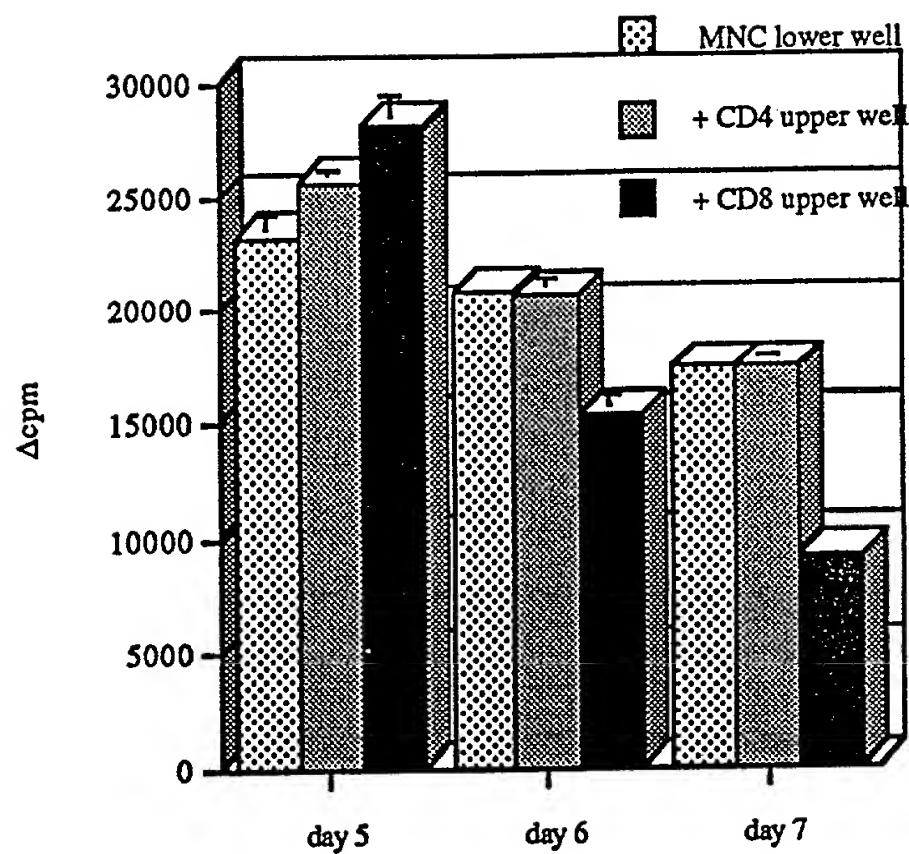


FIGURE 16

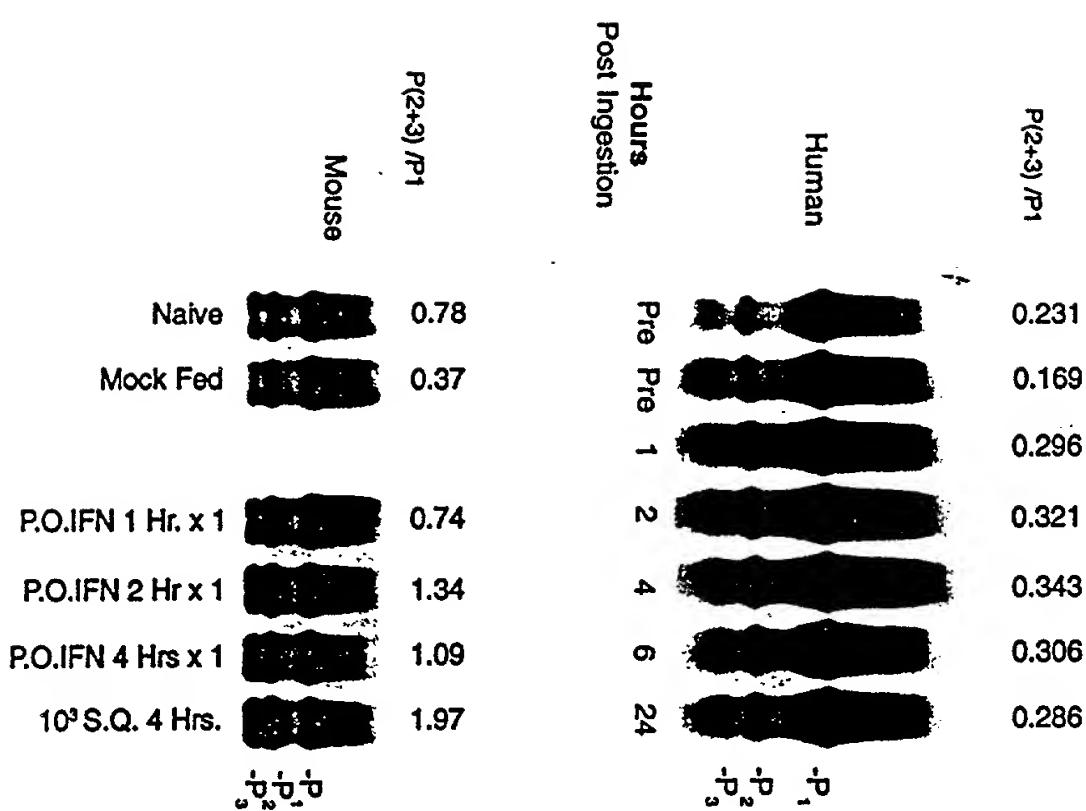


FIGURE 17

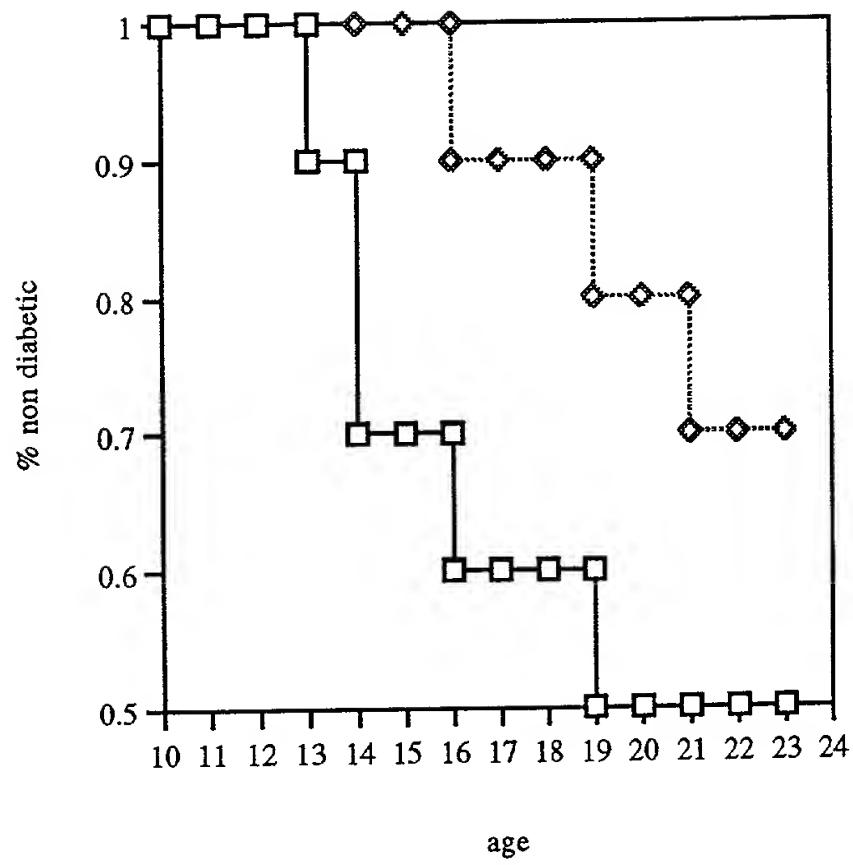


FIGURE 18

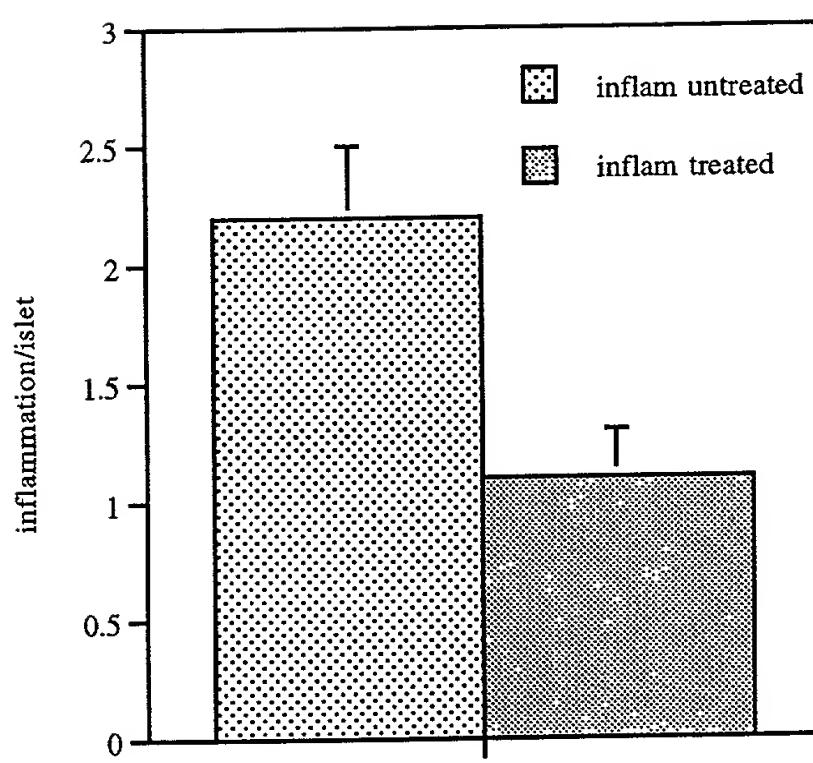


FIGURE 19

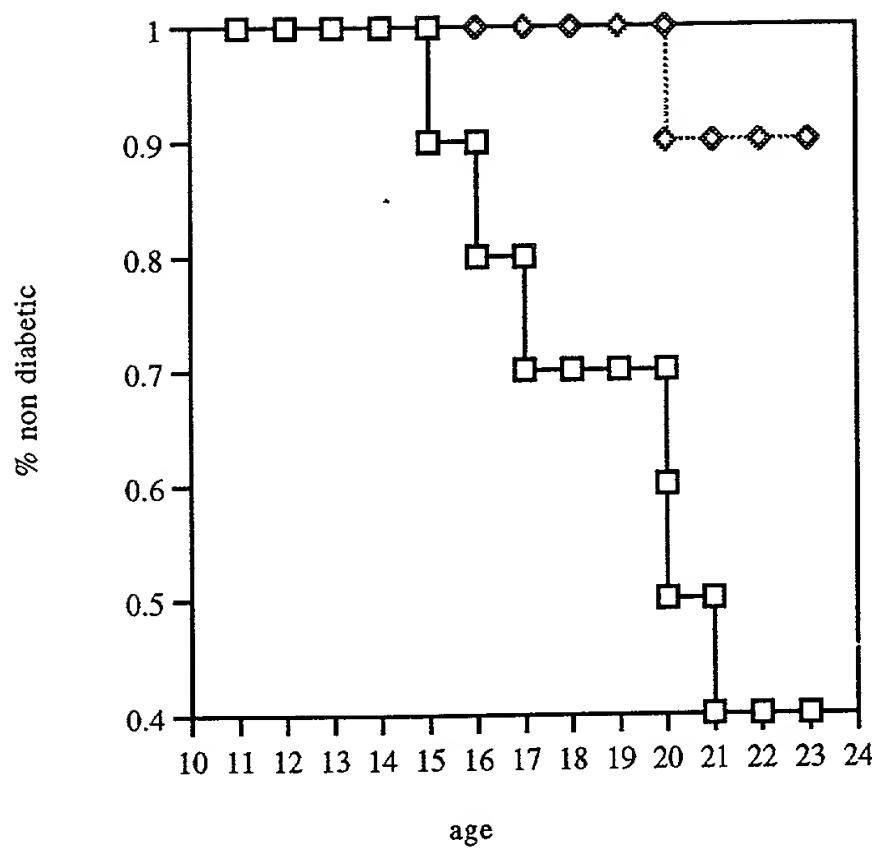


FIGURE 20

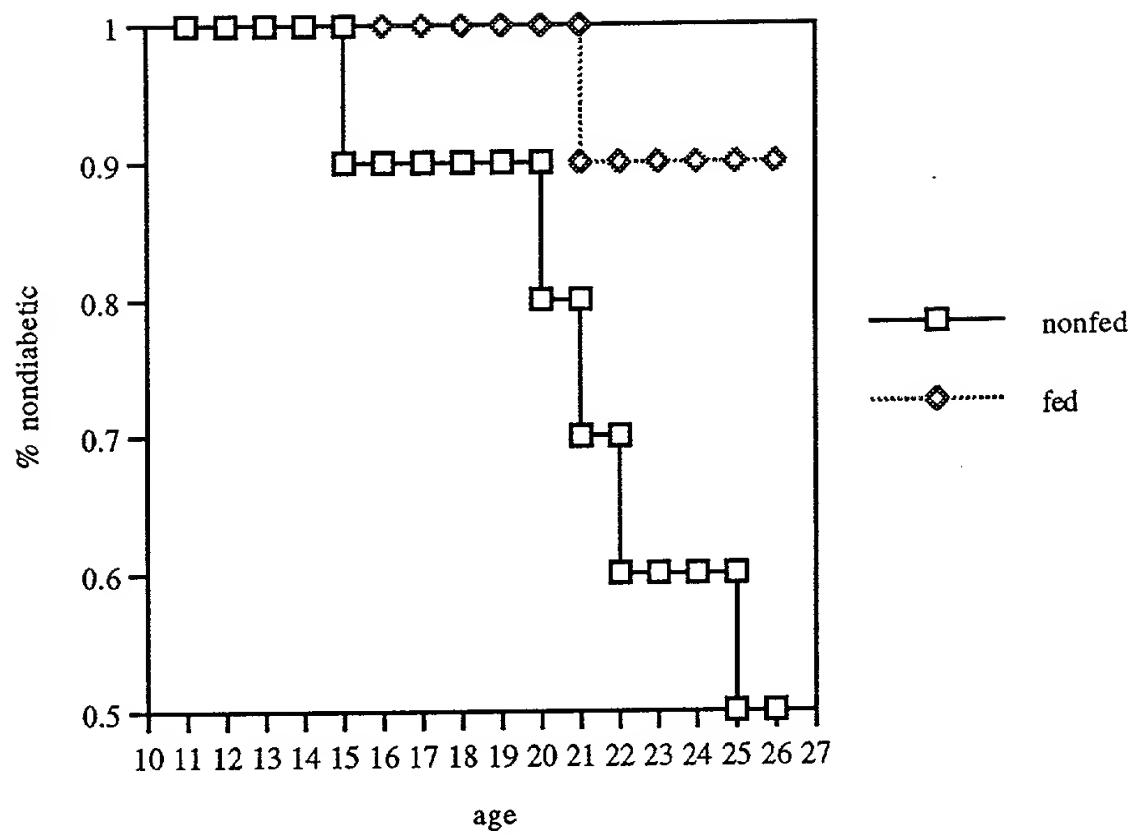
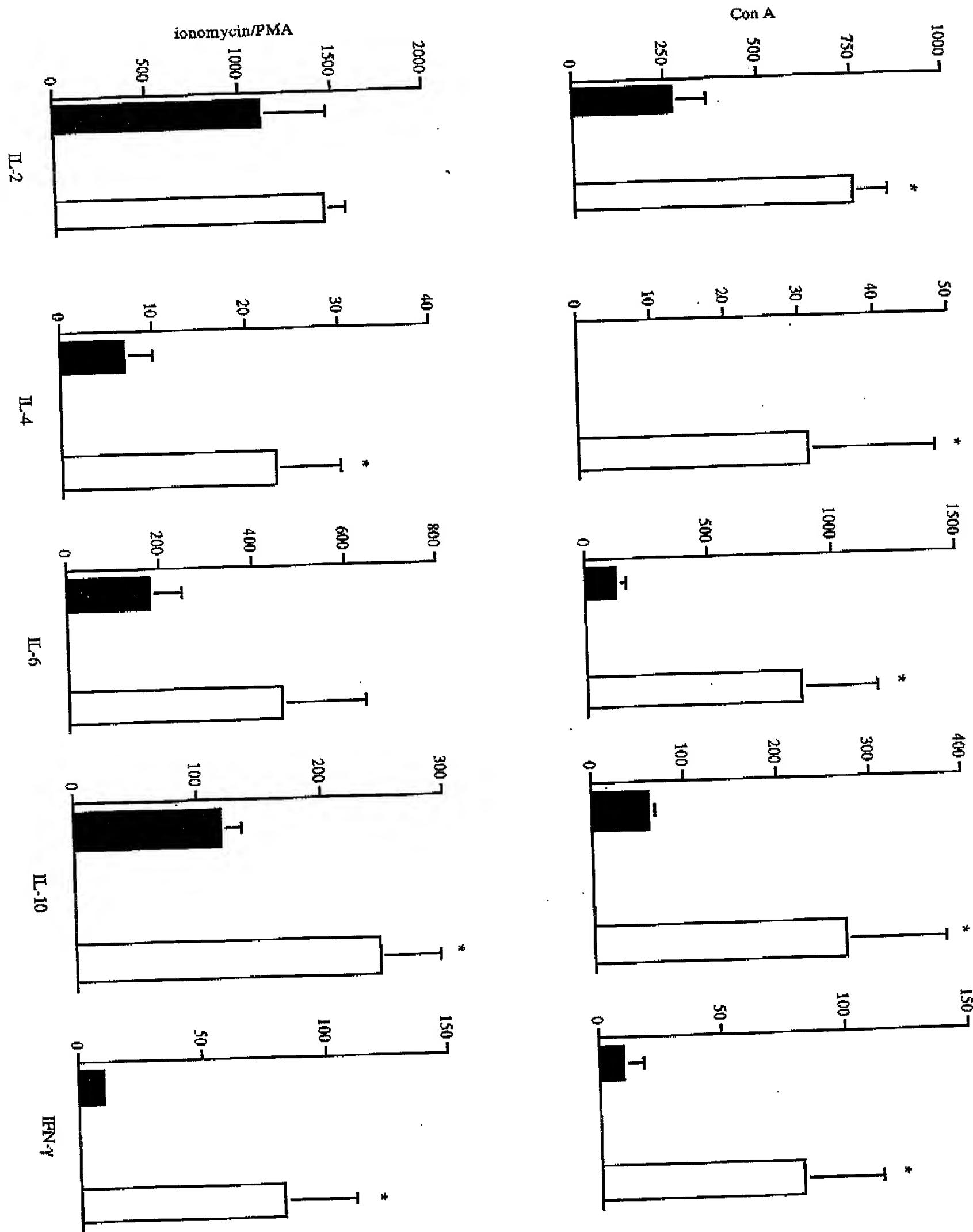
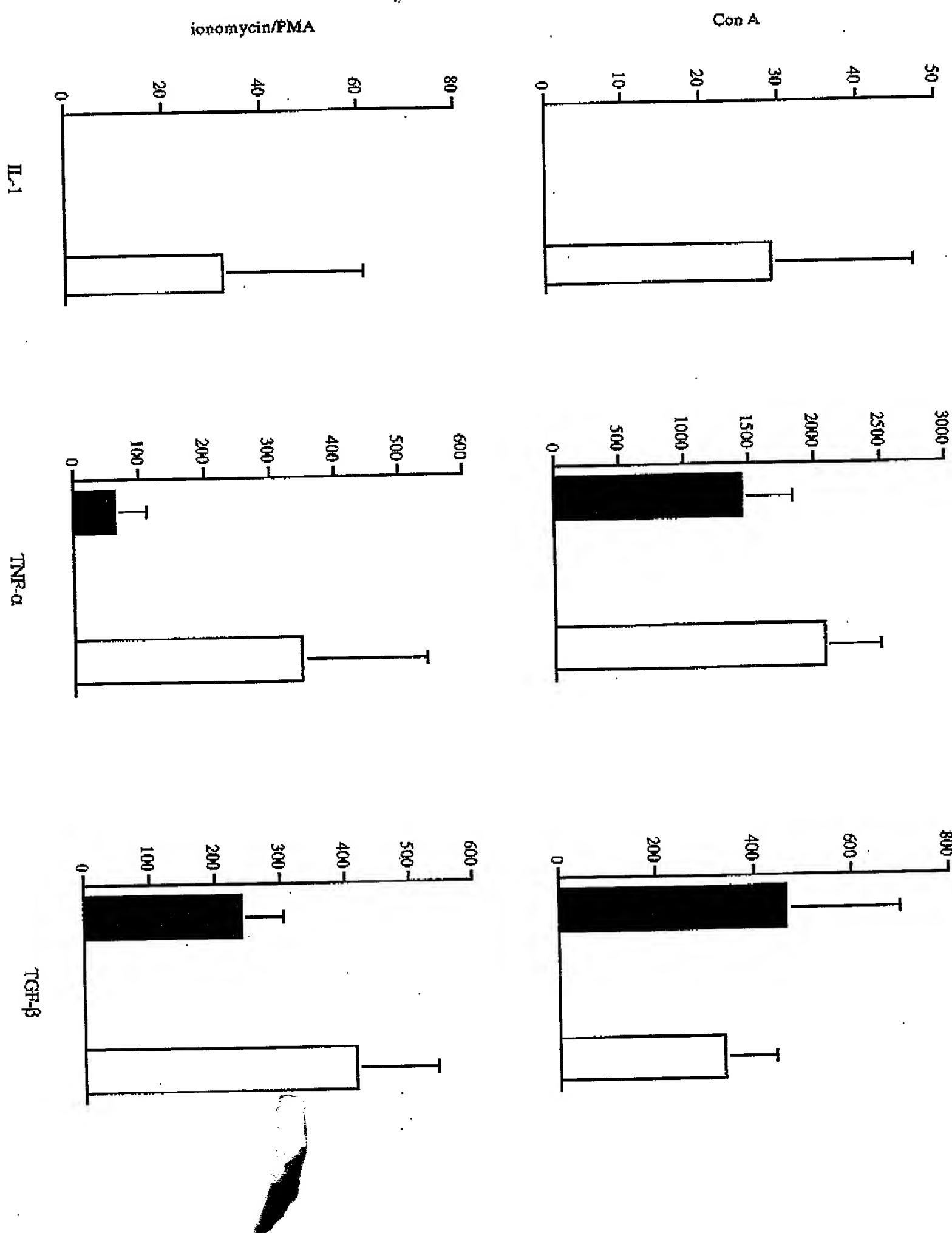


FIGURE 21





Applicant or Patentee: Brod, S. Attorney's BSA  
Serial or Patent No.:  Docket No.: DS 716 C 143

Filed or Issued: April 21, 1997

For: Method of Treating Auto-Immune Diseases Using Type One Interferons

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(c)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official of the nonprofit organization empowered to act on behalf of the concern identified below:

NAME OF ORGANIZATION: Research Development Foundation  
ADDRESS OF CONCERN: 402 North Division Street  
Carson City, Nevada 89703

TYPE OF ORGANIZATION:

TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3)

I hereby declare that the above identified nonprofit organization qualifies as a nonprofit organization as defined in 37 CFR 1.9(e), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the invention, entitled Method of Treating Auto- by inventor(s) Stacy A. Brod described in Immune Disease Using Type One Interferons

- the specification filed herewith  
 application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
 patent no. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the above identified nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

INDIVIDUAL     SMALL BUSINESS CONCERN     NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

INDIVIDUAL     SMALL BUSINESS CONCERN     NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: JAMES F. WEILER

TITLE OF PERSON OTHER THAN OWNER: VICE PRESIDENT

ADDRESS OF PERSON SIGNING ONE RIVERWAY, SUITE 1560, HOUSTON, TEXAS 77056

SIGNATURE: James F. Weiler

DATE: 4/21/97

DOCKET NO: D5716CIP3

COMBINED DECLARATION AND POWER OF ATTORNEY

Staley A. Brod, as the below-named inventor, hereby declares that: his residence, post office address and citizenship are as stated below next to his name; he believes he is the original, first and only inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD OF TREATING AUTO-IMMUNE DISEASES USING TYPE ONE INTERFERONS**, the specification of which:

X is filed herewith.

— was described and claimed in PCT International Application No.

— filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

He hereby states that he has reviewed and understands the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. He acknowledges the duty to disclose all information he knows to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a), including information which became known to him between the filing date of the prior application and the national or PCT international filing date of this patent application.

He hereby appoints the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Dr. Martin L. McGregor, Registration No. 29,239, Dr. Benjamin Adler, Registration No. 35,423 and Sarah J. Brashears, Registration No. 38,087. Address all telephone calls to telephone number 713/777-2321. Address all correspondence to, McGREGOR & ADLER, 8011 Candle Lane, Houston, TX 77071.

He hereby declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: STALEY A. BROD

Inventor's Signature: Staley A. Brod Date: 4/21/97

Residence Address: 7015 Brook Run Lane, Houston, Texas 77040

Citizen of: United States of America

Post Office Address: 7015 Brook Run Lane, Houston, Texas 77040